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The Role of the Gut-Brain Axis in Neurodegenerative Diseases and Relevance of the Canine Model: A Review

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The Role of the Gut-Brain Axis in Neurodegenerative Diseases and Relevance of the Canine Model: A Review

Abstract

Identifying appropriate animal models is critical in developing translatable *in vitro* and *in vivo* systems for therapeutic development and investigating disease pathophysiology. These animal models should have direct biological and translational relevance to the underlying disease they are supposed to mimic. Aging dogs naturally develop a cognitive decline in many aspects including learning and memory, but also exhibit human-like individual variability in the aging process. Neurodegenerative processes that can be observed in both human and canine brains include the progressive accumulation of β -amyloid ($A\beta$) found as diffuse plaques in the prefrontal cortex, including the *gyrus preceus*, the hippocampus, and in the cerebral vasculature. A growing body of epidemiological data shows that human patients with neurodegenerative diseases have concurrent intestinal lesions, and histopathological changes in the gastrointestinal (GI) tract occurs decades that evolve before neurodegenerative changes. Gut microbiome alterations also have been observed in many neurodegenerative diseases including Alzheimer's and Parkinson's diseases, and inflammatory CNS diseases. Interestingly, only recently has the dog gut microbiome been recognized to more closely resemble in composition and in functional overlap with the human gut microbiome as compared to rodent models. This article aims to review the physiology of the gut-brain axis (GBA), and its involvement with neurodegenerative diseases in dogs and humans. Additionally, we outline the advantages and disadvantages of traditional *in vitro* and *in vivo* models and discuss future research directions investigating major human neurodegenerative diseases such as Alzheimer's and Parkinson's diseases using dogs.

Keywords

canine enteroid/colonoids, gut-on-a-chip, translational medicine, Alzheimer's disease, canine cognitive dysfunction

Disciplines

Small or Companion Animal Medicine | Veterinary Pathology and Pathobiology | Veterinary Toxicology and Pharmacology

Comments

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The Role of the Gut-Brain Axis in Neurodegenerative Diseases and Relevance of the Canine Model: A Review

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Canine enteroid/colonoids, Gut-on-a-Chip, translational medicine, Alzheimer's disease, Canine Cognitive Dysfunction

1 Abstract

2 Identifying appropriate animal models is critical in developing translatable *in vitro* and *in vivo* systems
3 for therapeutic development and investigating disease pathophysiology. These animal models should
4 have direct biological and translational relevance to the underlying disease they are supposed to mimic.
5 Aging dogs naturally develop a cognitive decline in many aspects including learning and memory, but
6 also exhibit human-like individual variability in the aging process. Neurodegenerative processes that
7 can be observed in both human and canine brains include the progressive accumulation of β -amyloid
8 ($A\beta$) found as diffuse plaques in the prefrontal cortex, including the *gyrus proreus*, the hippocampus,
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10 with neurodegenerative diseases have concurrent intestinal lesions, and histopathological changes in
11 the gastrointestinal (GI) tract occurs decades that evolve before neurodegenerative changes. Gut

12 microbiome alterations also have been observed in many neurodegenerative diseases including
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15 overlap with the human gut microbiome as compared to rodent models. This article aims to review the
16 physiology of the gut-brain axis (GBA), and its involvement with neurodegenerative diseases in dogs
17 and humans. Additionally, we outline the advantages and disadvantages of traditional *in vitro* and *in*
18 *vivo* models and discuss future research directions investigating major human neurodegenerative
19 diseases such as Alzheimer's and Parkinson's diseases using dogs.

20

21 **1. Introduction**

22 The gut-brain axis (GBA) is a highly complex bidirectional interactive system, mediated by hormonal,
23 immunological and neural signals between the gut and the brain¹. A growing body of evidence suggests
24 that the gut microbiota have profound impacts on the neurodevelopmental processes and brain
25 function^{2,3}. Specifically, dysregulation of GBA cross-talk is associated with metabolic syndrome^{4,5} and
26 psychiatric disorders such as depression, anxiety, autism, Parkinson's disease (PD), and Alzheimer's
27 disease (AD)^{6,7}. In turn, these disorders are also frequently associated with alterations in gut
28 microbiota composition and function which may in turn contribute to disruption of molecular
29 interactions between the gut and brain^{8,9}.

30

31 The GBA is formed by the central nervous system (CNS), the enteric innervation that includes extrinsic
32 fibers of the autonomous nervous system (ANS) and intrinsic neurons of the enteric nervous system
33 (ENS), the hypothalamic pituitary adrenal (HPA)-axis and the intestinal microbiota¹⁰. The extrinsic
34 innervations of the gastrointestinal (GI) tract connect the gut with the brain through vagal and spinal
35 fibers, while the brain sends efferent sympathetic and parasympathetic fibers to the GI tract¹⁰⁻¹². The
36 HPA-axis is considered the main regulator of the stress response¹³. Furthermore, the HPA-axis
37 regulates different body processes including alimentary function during digestion [Ref]. Corticotrophin-
38 releasing factor (CRF) released by the hypothalamus and different proteins within this family (e.g.,

39 CRF, urocortin 1-3) are known to affect GI tract function, i.e. intestinal motility¹⁴, permeability¹⁵, and
40 inflammation¹⁶. Specifically, changes in the gastroduodenal motility induced by urocortin administration
41 were noted in conscious rats and this study also suggested that the vagal pathway may mediate the
42 central action of urocortin¹⁴. The rats subjected to stress (i.e., water avoidance stress) and
43 corticosterone injections exhibited region-specific decreases in epithelial tight junction protein levels in
44 the colon and increased colon epithelial permeability as measured by low molecular weight
45 macromolecules¹⁵. In addition, cortisol and the proinflammatory cytokines interleukin (IL)-6 and IL-8
46 were found to be elevated in patients with IBS¹⁶.

87 Both clinical and experimental evidence suggests that enteric microbiota contribute to regulating the
88 communication and function of the GBA, including the ability to modulate immune mediators (e.g.,
89 cytokines and chemokines)¹⁷. The GBA interacts not only locally with intestinal cells and ENS, but also
90 directly with CNS through neuroendocrine and metabolic pathways¹⁸. Furthermore, microbiota can
91 influence ENS activity by producing small molecules that can act as local neurotransmitters, such as γ -
92 aminobutyric acid (GABA), amino-acid derivatives (e.g. serotonin, melatonin, and histamine) and fatty-
93 acid derivatives (e.g. acetylcholine)¹⁹ and by generating a biologically active form of catecholamines
94 (i.e., dopamine, norepinephrine) in the lumen of the gut²⁰. The ENS is also targeted by bacterial
95 metabolites such as short-chain fatty acids (SCFAs), including butyric acid, propionic acid and acetic
96 acid, which act to stimulate sympathetic nervous system²¹, mucosal serotonin release²² and to
97 influence memory and the learning process^{23,24}.

98

99 **2. GBA in Neurodegenerative Diseases**

100 Dysfunction of the gut microbiota-brain axis has been associated with depression and anxiety, as well
101 as neurodevelopmental disorders such as autism, PD, and AD^{8,25,26}.

102

103 Alzheimer's Disease

104 AD is a neurodegenerative syndrome accompanied by progressive dementia and histologically
105 associated with the accumulation of cerebral amyloid angiopathy (CAA), which is plaques composed of

106 misfolded β -amyloid ($A\beta$) fibrils and oligomers, as well as neurofibrillary tangles consisting of
107 hyperphosphorylated tau protein in the cerebral cortex, locus coeruleus, and hippocampus²⁷. $A\beta$ fibrils
108 accumulation leads to demyelination, neuronal cell death, CNS impairment, cognitive dysfunction, and
109 ultimately death^{28–30}.

110

111 One hypothesis for pathogenesis of GBA in neurodegenerative diseases is dysbiosis, which occurs as
112 a result of antibiotic exposure³¹, dietary changes³², probiotics³³, or a variety of other disease
113 conditions^{34,35}. Specifically, various studies have shown an association between dysbiosis and
114 aggregation of $A\beta$ peptides in intestinal epithelial cells^{36,37} and ENS^{38,39}. Different components of the
115 microbiota, such as bacteria, can excrete immunogenic mixture of functional lipopolysaccharides
116 (LPSs), amyloids, and exudates from their outer membranes into the local intestinal environment^{40,41}.
117 Amyloids and LPSs are usually soluble, although they can polymerize and form insoluble fibrous
118 protein aggregates, leading to stimulation of oxidative stress and cross-seeding of further protein
119 aggregation^{42,43}. For example, *E.coli* endotoxin was shown to enhance the formation of $A\beta$ fibrils in an
120 *in vitro* model⁴⁴. Also, another study showed that co-incubation of $A\beta$ peptide with LPS potentiates
121 amyloids fibrillogenesis⁴⁴, and systemic injection of LPS in wild-type and transgenic AD mice result in
122 greater amyloids deposition and tau pathology^{46–49}. Moreover, studies suggest that the structural
123 overlaps in the bacterial amyloid proteins to human $A\beta$ may induce molecular mimicry, an immune
124 response against the self-antigens stimulated by a foreign antigen sharing structural similarities with
125 self-antigens, causing greater inflammatory responses to cerebral $A\beta$ due to altered gut microbiota^{32–34}.

126 Another hypothesis is “prion concept” given the fact that many neurodegenerative diseases exhibit
127 accumulation of fibrillary, misfolded protein and its propagation similar of what has been seen in
128 prionopathies⁴⁵. Prionopathy also involves GBA and the local immune system when prions accumulate in
129 follicular dendritic cells within Peyer’s patches and other lymphoid follicles once entering into the
130 intestinal epithelium⁴⁶. Interestingly, a study with a senescence-accelerated mouse model, systemic
131 senile amyloid proteins were identified in Peyer’s patches⁴⁷ Combining these findings, then, by

132 interacting with dendritic cells, the misfolded protein might be transported to ENS then ultimately
133 spreading to the CNS⁴⁶ and this could explain the pathogenesis in AD with A β accumulation. A
134 significant amount of functional amyloid was shown to be generated by certain bacterial strains,
135 including *Escherichia coli*, *Bacillus subtilis*, *Salmonella typhimurium*, *S. enterica*, and *Staphylococcus*
136 *aureus*, and may contribute to the pathology of AD through the accumulation of misfolded A β oligomers
137 and fibrils^{40,48}. Some bacterial species, such as *Lactobacillus* spp. and *Bifidobacterium* spp. (both gram-
138 positive facultative anaerobic or microaerophilic bacteria) are able to metabolize glutamate to produce
139 GABA, the major inhibitory neurotransmitter²⁸. These observations suggest that alteration of the gut
140 microbiota can compromise the endogenous production of GABA²⁸. Indeed, alteration of GABA
141 signaling is linked to cognitive impairment, AD, anxiety and depression^{45,49–51}. Alternatively, gut
142 bacteria can affect the peripheral nerve function including ENS, is by its metabolites such as short-
143 chain fatty acid (SCFAs)²¹. The SCFAs, such as butyric acid, propionic acid, and acetic acid, are
144 produced by a bacterial fermentation of dietary fiber in the colon. They not only are a part of the critical
145 energy source for colonic epithelial cells, but also can stimulate sympathetic nervous system and
146 release serotonin, then ultimately influence the CNS processes including memory and learning²².
147 Importantly, lower levels of SCFAs are shown to negatively affect immune responses, epithelial cell
148 growth, and possibly affect the function of both the central and peripheral nervous systems^{52,53}.

149

150 Parkinson's Disease

151 Patients with PD show classic motor symptoms such as asymmetric resting tremor that are caused
152 primarily by the loss of dopamine resulting from degeneration and death of dopaminergic neurons in the
153 midbrain². The pathophysiology in PD neurodegeneration have not been definitely established;
154 however, abundant evidence suggests that there are neuroinflammation and glial cell activation in PD
155 patients. Proinflammatory signaling molecules including cytokines (i.e. IL-1 β , IL-6, and TNF- α) or
156 enzymes (i.e. nitric oxide synthase (iNOS) and cyclooxygenase-2 (COX-2)), and oxidative stress are
157 considered key mechanisms that contribute to neurodegeneration and cell death in PD⁵⁴.

158 Another highly relevant factor in PD pathogenesis is α -synuclein (α SYN), which is the protein present in
159 numerous cell types throughout the body with increased expression at presynaptic terminals of
160 neurons⁵⁵. This protein is highly soluble and regulate the release of synaptic vesicles which contains
161 important neurotransmitters⁵⁵. The α SYN is also expressed as a normal component of the ENS, and it
162 can be detected in submucosal neuronal structures in the intestinal tissues in a large percentage of
163 neurologically intact humans⁵⁶⁻⁵⁸. However, under certain circumstances⁵⁹, α SYN adopts a β -sheet
164 structure, loses its membrane-binding capacity, therefore leads to aggregation of such misfolded
165 proteins, which ultimately leads to the histological hallmark of PD-Lewy neurites and Lewy bodies in
166 especially dopaminergic neurons in the substantia nigra and noradrenergic neurons in the locus
167 coeruleus⁵⁹. Aggregates of misfolded α SYN impair mitochondrial complex I activity, reduce
168 mitochondrial function and lead to oxidative stress in the neuron⁵⁴⁻⁵⁶. Individuals with mutations in the
169 α SYN gene *SNCA* or multiplication of wild-type *SNCA* gene allele are known to develop early-onset,
170 rapidly-progressive PD⁶⁰. PD pathology that involves α SYN spreads from the ENS to the CNS by trans-
171 synaptic cell-to-cell transmission in intact sympathetic and parasympathetic nervous systems^{55,56}, which
172 is the foundation of “prion concept” in PD pathophysiology⁶¹. Interestingly, studies have reported distinct
173 α SYN immunoreactivity in intestinal biopsies taken from clinically normal individuals who would later
174 develop PD^{57,62,63}, indicating that abnormal enteric α SYN is present before CNS neurodegeneration has
175 advanced sufficiently to produce motor symptoms². Various clinical gastrointestinal signs or the
176 characteristic PD ENS pathology often occur before function of the brain is affected, with constipation
177 being the most common GI complaint in PD⁵⁷. This is likely due to prolonged intestinal transit time,
178 which has been reported to affect both the small intestine⁶⁴ and the colon in PD patients⁶⁵. It has been
179 clearly shown that constipation can manifest as a pre-motor symptom years before CNS
180 degeneration^{66,67}. In addition, a growing body of data indicates that PD patients have increased
181 intestinal permeability compared to healthy controls⁶⁸. Interestingly, studies also suggest that there are
182 increased risk of developing dementia⁶⁹ or Parkinson’s disease⁷⁰ in patients with irritable bowel
183 syndrome.

184 In recent years, the relationships between intestinal microbiota and PD pathology and their link to
185 deranged GI motility have been studied, and some of the reported differences include decrease in
186 *Prevotella* spp. and *Clostridium* spp. in PD patients^{71,72}. These intestinal bacteria are prominent
187 producers of SCFAs, such as butyrate as well as folate (vitamin B9) and thiamine (vitamin B1) which
188 are important for maintenance of epithelial barrier function^{71,72}. Interestingly, all of these SCFAs are
189 associated with the amelioration of PD pathology⁷²⁻⁷⁴. For molecular mimicry in the pathophysiology of
190 PD, Tobacco Mosaic virus (TMV)⁴³ has been implicated but needs more investigation to make absolute
191 conclusion.

192

193 **3. Experimental Approaches to Investigating the GBA**

194 Both static and dynamic *in vitro* models have been utilized to advance the understanding of pathology of
195 the GBA in neurodegenerative diseases. The schematic of the major benefits and disadvantages are
196 summarized in Figure 2. It is important to note that cognitive dysfunction is a highly prevalent not only in
197 AD but also in the non-motor symptoms of PD⁷⁵. In *in vivo* model section, main focus will be on *in vivo*
198 AD models; however, findings from these *in vivo* models for cognitive impairment would be relevant to
199 both AD and PD. The summaries of similarity and differences between clinical and histological
200 differences are stated in Figure 3.

201

202 **3.1. *In vitro* Models**

203 Static Systems

204 Development of useful *in vitro* model is critical for elucidating pathophysiology and developing effective
205 therapies especially in the neurodegenerative diseases. Only about 7% of investigational agents tested
206 in phase III trials progress into the market in neurology. This is worse than the average of 11% of drugs
207 marketed for all disease categories^{76,77}.

208

209 The blood-brain barrier (BBB), a unique compartment that constitutes the interface between the
210 peripheral circulation and the CNS, is the key compartment to understand the GBA⁷⁸. The BBB not only
211 supplies nutrients to the CNS, but also removes waste products (such as urea or potassium) and,
212 prevents blood-borne pathogens and toxic products from harming the brain⁷⁸. The most unique
213 characteristic of the BBB is the network of tight junctions between individual capillary endothelial cells
214 that lack fenestration with reduced capacity for pinocytosis, which ultimately maintains the molecular
215 integrity of BBB⁷⁹.

216

217 Attempts to craft an *in vitro* model to recapitulate the complexity of the BBB has been attempted and
218 the most traditional BBB *in vitro* culture models, include brain microvascular endothelial cells and
219 astrocytes in a static Transwell culture⁶⁶. Leveraging its similarity with conventional 2-dimensional (2D)
220 culture system and relative simplicity, the Transwell BBB system has been widely used in a research
221 setting⁶⁶; however, it does not provide the shear forces that are critical for maintenance of endothelial
222 polarization and tight junction (TJ) formation⁶⁶. These critical shortcomings result in endothelial
223 permeability that is higher in this model than physiologically seen, which leads to overestimation of
224 compounds that poorly penetrate across the BBB *in vivo* (e.g., sucrose) can now readily diffuse across
225 the endothelial monolayer in the static model⁸⁰.

226 Additionally, current *in vitro* models include brain microvascular endothelial cell (BMVEC) and astrocyte
227 elements as the BBB models do not replicate the close physiological cross-talk between pericytes and
228 the capillary endothelium⁸¹. Significant improvements were seen in these BBB models with addition of
229 intraluminal flow in a hollow fiber *in vitro* model and the presence of astrocytes on the abluminal
230 surface, which accomplished more physiologically realistic polarization of the endothelial cells and
231 strengthens the integrity of TJs⁸².

232

233 Attempts were made to study the GBA using a transwell culture system as also⁸³. This system includes
234 only a few components of the GBA and it is important to note that Caco-2 cells, immortal cells from
235 human epithelial colorectal adenocarcinoma, are used to model the enteric epithelial cells in this

236 system.⁸³ Given these collective limitations as well as the lack of integration of microbiome/ENS in the
237 *in vitro* system, the results derived from these studies are of questionable translational relevance.

238

239 Dynamic Model Systems Using Microfluidics

240 It is only recent that a novel technology called an organ-on-a-chip (organ-OAC) has emerged^{84,85}. The
241 microfluidic device contains microtubing that allow continued flow of media and comprises of multiple
242 cell culture channels allowing co-culture of different cell types^{86,87}. The multiple small channels
243 compartmentalized by a flexible or a rigid porous membrane allow this innovative model system to
244 recapitulate the tissue-tissue interface⁸⁸.

245 The Gut-OAC (GOAC), which contains multiple-compartment microenvironment, allows researchers to
246 investigate intercellular interactions between intestinal epithelium, immune components, and living gut
247 bacteria or probiotics^{86,89}. This technology can be used to investigate the contributions of the gut
248 microbiome, probiotics, or compounds on intestinal pathophysiology and to elucidate
249 pathophysiologies of the *in vitro* environment that are not possible using conventional/static *in vitro*
250 systems⁸⁶.

251 Recently, a BBB-OAC was established and showed physiological barrier functions⁹⁰, using ENS and
252 enteroendocrine cells (EEC)-OAC combined together to assess the GBA microenvironment⁹¹.

253 Advancement in bioengineering techniques will allow incorporating multiple compartments in one *in*
254 *vitro* system such as a GBA-OAC^{92,93}. Despite the great promise of the Organ Chip technology, the
255 transfer of cells from a macroscopic environment (e.g., well-plates) to a microfluidic system requires a
256 significant revision and optimization of cell culture protocols. In fact, multiple factors distinguish
257 microfluidic from macroscopic cell cultures, such as different culture channel surfaces (hydrophobic vs
258 hydrophilic) and the need of reduced media volumes which can magnify the air bobbles blocking the
259 cell-medium contact within the culture channel⁷². Despite these limiting factors including the technology
260 being labor intensive, GOACs are a fast-growing model system which holds greater potential to
261 investigate primary GI diseases and the GBA microenvironment. environment. It is important to note
262 that our group recently established canine primary enteroid and colonoid culture system^{94,95}. This is

263 canine intestinal stem cell (ISC) culture system which faithfully mimic physiologic structure and function
264 of *in vivo* intestines⁹⁶. We can establish such *in vitro* system from both healthy and diseased
265 individuals, which allow investigation of the pathophysiology and treatment effect using this model.
266 Integration of canine primary enteroid/colonoid to the GOAC system is a primary area of research for
267 the further drug development currently being investigated by our group. The GOAC technology can
268 provide alternative and translatable methods for drug absorption, toxicity, and efficacy screenings, and
269 holds a promise to explore avenues of personalized therapy for GI and neurologic diseases in the near
270 future⁹⁴.

271

272 **3.2. *In vivo* animal Models**

273 One of the main obstacles in studying the GBA is the lack of an animal model system that successfully
274 replicates a healthy or diseased individual's gut microbiome. Another obstacle is that the current rodent
275 models for neurodegenerative diseases only allow investigation of short-term exposure to suspected
276 triggers. Investigation on the GBA effect with certain diets or probiotics requires studies in natural
277 models to have translational significance. Although the traditional rodent models for neurodegenerative
278 diseases have been and will be allowing investigators to assess a targeted question (i.e. transgenic
279 mice with deleted gene and how such gene deletion affects the pathology), it is critical to realize the
280 current flaws in utilizing such *in vitro* models in pharmaceutical development, especially after looking at
281 the poor success rate in drug discovery^{76,77}. Since rodent diets differ substantially from that of humans,
282 making comparisons between human and mouse gut microbiota studies is inherently difficult^{97,98}. Mice
283 preferentially consume grains and cereals, which are low in ascorbic acid, and they hold the ability to
284 synthesize this essential cofactor while humans have lost this ability⁹⁹. Also, presumably because of
285 their ancestors' ingestion of different xenobiotics, mice and humans have different complements of
286 cytochrome P450 enzymes and different patterns of xenobiotic metabolism^{100,101}. At least in part for this
287 reason, toxicology testing in mice has been a poor predictor of human toxicity¹⁰². While studies have
288 been performed using conventional mouse models to investigate diseases involving the GBA, the

289 alterations seen in the intestinal bacterial populations in mice are usually not reciprocated by human
290 data¹⁰³.

291
292 Another factor as to why rodent models do not mirror human pathophysiology is due to the contrived
293 nature of these induced disease models. As discussed before, AD is histologically characterized by
294 progressive dementia and the presence of CAA due to A β aggregates in the walls of cerebral
295 vessels^{29,104}. However, rodent models do not produce human sequence A β naturally¹⁰⁵ which limits
296 their investigative utility. Transgenic mouse models with over expressing the mutant human amyloid
297 precursor protein (APP) alone or combined with transgenic presenilin 1 (PS1) and presenilin 2 (PS2)
298 gene have secondary A β plaque formation in the brain histologically mimicking AD¹⁰⁶. However, these
299 transgenic mouse models naturally have cellular and behavioral resistance to A β pathology and
300 therefore do not develop the extensive neuronal loss seen in the AD patients¹⁰⁷. Also, there is a
301 fundamental difference in the anatomic folding of the cerebral cortex; with humans having a
302 gyrencephalic brain and rodents having a lissencephalic brain¹⁰⁸.

303
304 Accumulated data shows that the dog provides a complementary model system to the transgenic
305 mouse model to investigate the physiology of aging associated with neurodegenerative diseases, and
306 ultimately to develop therapeutics¹⁰⁹. The dog is a particularly relevant species since it shares similar
307 environmental, genomic, and intestinal physiologic features with humans¹¹⁰. Canine natural models also
308 offer additional predictive validity before transitioning to human clinical trials in many different diseases
309 including neurodegenerative diseases¹¹¹. A recent study suggests that in the process of domestication
310 in dogs, genes associated with digestion have been selected to thrive on a starch-rich diet unlike
311 wolves and more similar to humans¹¹². Interestingly, a study with polynomial regression analysis
312 showed that middle aged beagles between 5 and 9 years show similar aging process to humans
313 between 40 and 60 years regarding cognitive function, while beagles over 9 years are similar to
314 humans over 66 years¹¹³.

315

316 **3.3. Canine Models as Natural Models for Neurodegenerative Diseases: similarities and**
317 **differences**

318 Aged dogs with canine cognitive dysfunction (CCD) spontaneously develop varying degrees of
319 progressive cognitive decline and particular neuropathological features, similar to changes seen in
320 AD¹¹⁴. CCD dogs show similar abnormal MRI or gross histological findings as AD patients including
321 cortical atrophy^{115,116} and ventricular enlargement¹¹⁷. Neurodegenerative changes which have been
322 identified in the aged dog brain are similar to those seen in AD, including diffuse A β plaque
323 deposition^{110,118} and accompanied CAA¹¹⁹, together with neuronal loss¹²⁰ and dysfunction of
324 neurotransmitter systems¹²¹. Moreover, another major neuropathological hallmark of AD besides A β
325 plaques is hyperphosphorylated tau proteins⁶² and they are rarely found in aged dogs compared to that
326 in human AD¹²². Interestingly, one of the biomarkers of AD, plasma A β ₄₂ level, is also increased in CCD
327 dogs¹²³. This biomarker will allow early identification of those patients that are most likely to develop AD
328 in human (or CCD in dogs) and possibly amenable to early intervention to slow down disease
329 progression.

330

331 Canine multiple system degeneration (CMSD) is a fatal, familial movement disorder first described in
332 Kerry Blue Terriers¹²⁴, then in Chinese Crested dogs¹²⁵, and these breeds could be considered as
333 natural models for PD. Affected dogs are normal until 3–6 months of age, when they develop cerebellar
334 ataxia¹²⁵. This progresses to akinesia (i.e., impairment in voluntary movement) and severe postural
335 instability ultimately necessitating euthanasia by 1–2 years of age¹²⁵. Histologically, CMSD is
336 characterized by loss of cerebellar Purkinje cells followed by degeneration of the olivary nucleus,
337 substantia nigra, putamen, and caudate nucleus^{124,126}. Interestingly, the CMSD locus includes a
338 segment that contains *PARK2*, the gene for parkin, and mutations in human *PARK2* is known to cause
339 familial PD, which has clinical and pathological similarities to CMSD¹²⁵.

340

341 In addition to the similarity in clinicopathological changes in human and canine neurodegenerative
342 diseases, a recent study showed the similarity in their microbiome and the diet response between dogs
343 and humans compared to traditional rodent models¹²⁷.

344

345 No animal models are perfect and it is recognized that the canine model has limitations as well. For
346 example, it has been recently shown that dogs lack aldehyde oxidases (AOXs) which catalyze the
347 oxidation of aldehydes or N-heterocycles metabolism¹²⁸. This fact has physiological, pharmacological,
348 and toxicological relevance because AOXs are believed to represent an important metabolic system
349 capable of oxidizing a large array of endogenous and exogenous substrates¹²⁹. Also, human and
350 canine have different CYP3A isoforms (i.e., canine CYP3A12 is equivalent to human CYP3A4) and it is
351 important to recognize the species differences when interpreting permeability, toxicity, and metabolism
352 analysis using both *in vitro* and *in vivo* system¹³⁰. A parallel assessment between *in vivo* expressions of
353 such transporters and receptors and those found in *in vitro* system is required to demonstrate
354 translatability. Also, it possible that differences in activity and substrate specificity/inhibitors and
355 inducers are observed in the dog ; therefore, utilizing *in vitro* systems from multiple different species
356 would allow us to supplement other *in vitro* systems that might not completely mimic human
357 physiology¹³⁰.

358

359 **4. Therapeutic approaches for modulating the GBA and value of the canine model in AD**

360 In this section, we focus on therapeutic approaches for modulation of the GBA with a special emphasis
361 on AD. However, similar approaches could be of benefit for the management of non-motor symptoms of
362 PD, such as cognitive dysfunction.

363

364 **4.1. Dietary interventions**

365 Many human epidemiological studies have shown that nutrition and other lifestyle factors affect
366 cognitive function and some of those factors show ameliorating effect in developing AD¹³¹. Decreased
367 microbial diversity in the GI tract induced by high-fat diets has been associated with development of

368 various neurological diseases including AD and PD¹³². The multi-hit hypothesis in neurodegenerative
369 diseases is that certain diets lead to dysbiosis¹³³, then bacterial amyloids (e.g., molecular mimicry)
370 activate AD pathogenesis by providing immunostimulatory misfolded amyloids, while the gut
371 microbiome enhances inflammatory responses to cerebral accumulation of A β ⁴³. This suggests that
372 modulating the gut microbiome through specific dietary interventions with prebiotics and/or probiotics
373 can be an effective strategy to correct dysbiosis, reduce chronic gut inflammation and A β aggregation
374 to slow down the progression of AD.

375

376 The ketogenic diet, which has anticonvulsant properties, was developed in the 1920s to mimic
377 physiological state seen in prolonged fasting¹³⁴. The traditional ketogenic diet is very high in fat and low
378 in carbohydrates, which shifts the energy balance to lipolysis (i.e., to metabolize body fat), which leads
379 to ketogenesis, which is β -oxidation of fatty acids, and ultimately to the production of acetoacetate, β -
380 hydroxybutyrate, and acetone¹³⁵. These substances can easily cross the BBB and be used as
381 precursors for the generation of adenosine triphosphate (ATP)¹³⁵. Several mechanisms exist for
382 explaining how ketone bodies exert anti-convulsant actions,¹³⁶ including increased ATP production,
383 altered brain pH affecting neuronal excitability, and/or their direct inhibitory effects on ion channels¹³⁷.
384 Since some glucose is required for the synthesis and homeostasis of glutamate, which is the most
385 abundant excitatory neurotransmitter, a ketogenic diet that is very low in carbohydrates may prevent
386 seizures by minimizing the formation of the excitatory neurotransmitter that could lead to seizure
387 activities¹³⁸. Ketones are also structurally similar to GABA, which is an inhibitory neurotransmitter, and
388 may have direct anticonvulsant or even antiepileptogenic effects¹³⁹

389

390 Recent findings further suggest that caloric restriction also prevents age-related neuronal damage and
391 may be useful in the prevention and treatment of AD¹⁴⁰. Several mechanisms for its beneficial effects of
392 caloric restriction include anti-inflammatory properties, reduction of oxidative stress, promotion of
393 synaptic strength as well as induction of various neuroprotective factors¹⁴⁰. Caloric restriction also

394 induces fatty acids oxidation (FAO) in intestinal stem cells, which are known to be reduced with
395 aging¹⁴¹.

396

397 Interestingly, some of these dietary interventions have been investigated in dogs. Similar positive
398 effects were observed with ketogenic diets incorporating medium chain triglyceride (MCT) in epileptic
399 dogs with up to <50 % reduction in seizure activity¹⁴², and now commercially available. Aged dogs
400 receiving MCT diets showed significantly improved mitochondrial function, decreased APP levels, and a
401 trend towards a decrease in total A β levels most prominent in the parietal lobe¹⁴³.

402 Lifestyle and nutrition are suspected to play a role in the development of CCD in dogs and intensive
403 training on cognitive tasks during their lifetime as well as supplementation of food with antioxidants can
404 delay the onset or mitigate cognitive decline¹⁴⁴. Similarly, aged dogs fed an antioxidant-enriched diet
405 had significantly less age-dependent cognitive impairment than aged dogs fed the control diet¹⁴⁴.

406

407 **4.2. Probiotics**

408 Probiotics are living microorganisms with potential health benefits to the host³⁸. As discussed before,
409 GABA is the major inhibitory neurotransmitter in the CNS, and it is produced by *L. brevis* and *B.*
410 *dentium* via glutamate metabolism¹⁴⁵. Postmortem studies of the cortical areas of AD patients have
411 shown reduced frontal, temporal, and parietal GABA concentrations¹⁴⁶. There are numerous studies in
412 rodent models assessing the impact of probiotics on cognitive behavior¹⁴⁷. Stress-induced memory
413 impairment in mice can be restored by administering a daily treatment of probiotics (*L. rhamnosus*
414 R0011 + *L. helveticus* R0052)¹⁴⁷. Treatment with *L. fermentum* NS9 mitigated an ampicillin-induced
415 spatial memory impairment and inhibited the ampicillin-induced reductions in N-methyl-D-aspartate
416 (NMDA) receptor, which is a glutamate receptor as well as an ion channel, expression in rats¹⁴⁸. The
417 probiotic *L. helveticus* NS8 was also shown to significantly mitigate cognitive impairment in the
418 hippocampus of rats¹⁴⁹. Treatment with VSL#3 was shown to induce significant increase in intestinal
419 *Actinobacteria* and *Bacteroidete*), which correlated with ameliorated age-related deficit in VSL#3-
420 treated aged rats¹⁵⁰. More importantly, a recent randomized, double-blind, and controlled clinical trial

421 demonstrated that a mixture of probiotics (*L. acidophilus* + *L. casei* + *B. bifidum* + *L. fermentum*)
422 consumption for 12 weeks had a positive effect on cognitive function and some metabolic statuses in
423 AD patients¹⁵¹. Dysbiosis assessment in CCD dogs and potential therapeutic benefit of probiotics in
424 CCD dogs are needed to further investigate parallel therapeutic options in cognitive dysfunction in both
425 humans and dogs.

426

427 **5. Conclusions and perspectives**

428 The collective scientific evidence supports the hypothesis that the GBA plays a critical role in the
429 pathophysiology of various neurodegenerative diseases, such as AD and PD. Murine models are
430 informative tools to investigate specific hypotheses in many research settings; however, given the
431 induced or genetically modified nature of their disease state, there has been very little translatability in
432 testing of new therapeutic interventions to humans. Aged dogs with CCD syndrome naturally
433 recapitulate the key features of human aging, making them particularly useful for investigating
434 preventative or therapeutic interventions particularly for AD. Also, the dog gut microbiome has been
435 shown to overlap more with the human microbiome compared to that of conventional murine model.

436

437 The most recent analyses suggest that one of the most expensive therapeutic areas in terms of drug
438 research and discovery (R&D) costs is neurology¹⁵². This is because drugs in this category experience
439 particularly lower success rates and that approximately 7% of investigational agents tested in phase III
440 trials make it onto the market in neurology⁷⁶. A barrier to achieving better attrition rate in neurology drug
441 R&D is the lack of utilization of good natural models of true patient benefit. As we discussed earlier, the
442 dog is a particularly relevant species since it shares multiple features with humans. Also, CCD dogs
443 can be utilized as a natural model for AD as well as PD, and novel therapeutic trials can be done prior
444 to entering human trials to assess its effect (i.e. reverse extrapolation). It is important to note that
445 because organoids are derived from individuals with different genotypes and environmental risk factors,
446 they are a highly relevant model system for personalized therapy. Integration of such organoid culture
447 system with GOAC technology could hold natural or patient-specific disease characteristics and could

448 be utilized to screen for potential therapeutic discovery during early exploratory R&D phase. In the near
449 future, combination of data from GOAC models and clinical trials using dogs as natural disease models,
450 as well as bioinformatics, such collaborate studies can be used not only to screen novel therapeutics
451 but also to predict the outcome of novel therapeutics prior to entering human trials to assess its effect
452 (i.e., reverse extrapolation).

453

454 CONFLICT OF INTEREST:

455 JM, AJ, KA, and HJK are founders of a company, 3D Health Solutions, which is offer canine intestinal
456 organoid culture as an assay system to improve the selection of the most promising candidate in
457 pharmaceutical research and development. YMA is a recent addition to the company.

458

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