# IOWA STATE UNIVERSITY Digital Repository

Industrial and Manufacturing Systems Engineering Publications

Industrial and Manufacturing Systems Engineering

6-2016

# Utility of Baroreflex Sensitivity as a Marker of Stress

Amanda A. Anderson Iowa State University, amarens@iastate.edu

Nir Keren Iowa State University, nir@iastate.edu

Andrew Lilja *Iowa State University* 

Kevin Godby Iowa State University

Stephen B. Gilbert Iowa State University, gilbert@iastate.edu

See next page for additional authors

Follow this and additional works at: http://lib.dr.iastate.edu/imse pubs

Part of the <u>Ergonomics Commons</u>, <u>Other Engineering Commons</u>, and the <u>Other Kinesiology</u> <u>Commons</u>

The complete bibliographic information for this item can be found at http://lib.dr.iastate.edu/ imse\_pubs/96. For information on how to cite this item, please visit http://lib.dr.iastate.edu/ howtocite.html.

This Article is brought to you for free and open access by the Industrial and Manufacturing Systems Engineering at Iowa State University Digital Repository. It has been accepted for inclusion in Industrial and Manufacturing Systems Engineering Publications by an authorized administrator of Iowa State University Digital Repository. For more information, please contact digirep@iastate.edu.

# Utility of Baroreflex Sensitivity as a Marker of Stress

#### Abstract

Presently, adaptive systems use various cognitive and cardiovascular measures to evaluate the functional state of the operator. One marker that has been largely ignored as an assessment tool is baroreflex sensitivity (BRS). This study examined the extent to which BRS changed in response to acute psychological and physical stressors. A total of 20 participants underwent 6-min exposures to a psychological stressor and a physical stressor. Baroreceptor sensitivity, blood pressure, heart rate, heart rate variability, stroke volume, cardiac output, mean blood pressure, total peripheral resistance, left ventricular ejection time, and pre-ejection period were continuously measured at rest and throughout the testing period. Compared to rest, BRS significantly decreased during both the psychological and physical stressors. BRS was reduced more with the psychological stressor than the physical stressor. Heart rate and systolic blood pressure significantly increased above rest during the psychological stressor but not during the physical stressor. There were no significant differences from rest or between stressors for the other physiological markers. BRS was more robustly responsive than other cardiovascular measures commonly used to assess the psychophysiological response to stress, suggesting BRS is a useful marker for evaluating operator functional state during psychological and physical tasks.

#### Keywords

Adaptive systems, baroreflex sensitivity, psychophysiological markers, psychological 18 stress, Human-Computer

#### Disciplines

Ergonomics | Kinesiology | Other Engineering | Other Kinesiology

#### Comments

This is a manuscript of an article from *Journal of Cognitive Engineering and Decision Making* 10 (2016): 167, doi: 10.1177/1555343416653887. Posted with permission.

#### Authors

Amanda A. Anderson, Nir Keren, Andrew Lilja, Kevin Godby, Stephen B. Gilbert, and Warren D. Franke

1	
2	
3	Title: Utility of Baroreflex Sensitivity as a Marker of Stress
4	
5	
6	Amanda A. Anderson <sup>ab</sup> , Nir Keren <sup>a</sup> , Andrew Lilja <sup>a</sup> , Kevin M. Godby <sup>a</sup> ,
7	Stephen B. Gilbert <sup>a</sup> , and Warren D. Franke <sup>ab</sup>
8	
9	<sup>a</sup> Human Computer Interaction, 1620 Howe Hall, Iowa State University, Ames, IA, USA
10	<sup>b</sup> Department of Kinesiology, 235 Forker Building, Iowa State University, Ames, IA USA
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	Corresponding Author:
26	Warren Franke
27	wfranke@iastate.edu,
28	235 Forker Building Iowa State University, Ames, IA 50011

1

#### Abstract

2 Presently, adaptive systems use various cognitive and cardiovascular measures to evaluate the 3 functional state of the operator. One marker that has been largely ignored as an assessment tool 4 is baroreflex sensitivity (BRS). This study examined the extent to which baroreflex sensitivity 5 (BRS) changed in response to acute psychological and physical stressors. A total of 20 6 participants underwent six minute exposures to a psychological stressor and a physical stressor. 7 Baroreceptor sensitivity, blood pressure, heart rate, heart rate variability, stroke volume, cardiac output, mean blood pressure, total peripheral resistance, left ventricular ejection time, and pre-8 9 ejection period were continuously measured at rest and throughout the testing period. Compared 10 to rest, BRS significantly decreased during both the psychological and physical stressors. BRS was reduced more with the psychological stressor than the physical stressor. Heart rate and 11 12 systolic blood pressure significantly increased above rest during the psychological stressor but not during the physical stressor. There were no significant differences from rest or between 13 stressors for the other physiological markers. BRS was more robustly responsive than other 14 15 cardiovascular measures commonly used to assess the psychophysiological response to stress suggesting BRS is a useful marker for evaluating operator functional state during psychological 16 17 and physical tasks.

*Keywords:* Adaptive systems, baroreflex sensitivity, psychophysiological markers, psychological
stress, Human-Computer.

- 20
- 21

22

1

2
1
~

# Introduction

Human computer interaction (HCI) systems that can provide automated assistance, based 3 4 on the user's immediate and changing needs, are highly desired. Effective adaptive systems can 5 modify their support based on critical events, the environment, and the operator's performance on the current task (Feigh, Dorneich, & Hayes, 2012). One of the more promising methods for 6 7 use in adaptive systems is the use of psychophysiological measures to assess changes in operator functional state (e.g., mental workload, fatigue, stress) (Scerbo et al., 2001). This information is 8 9 collected through real-time sensors which attempt to detect when cognitive resources are 10 increasingly challenged, such as when they become inadequate to fulfill the mission's demands (Dorneich, et al., 2007). Identifying states of mental overload is a high priority in adaptive 11 systems as excessive workloads may inhibit the ability to process incoming information leading 12 to deleterious effects on operator performance (Chen, Haas, & Barnes, 2007; Cummings, Bruni, 13 Mercier, & Mitchell, 2007; Durantin, Gagnon, Tremblay, & Dehais, 2014; Ruff, Narayanan, 14 Draper, 2002). 15

In order for an adaptive system to respond adequately, it is imperative that the 16 psychophysiological signals reliably and accurately reflect the operator's current state. To that 17 end, using multiple physiological measures versus a single measure provides more adequate 18 information when assessing operator state (Parasuraman, 1990; Ryu & Myung, 2005; Wickens & 19 20 Hollands, 2000). For example, when assessing operator fatigue, examining EEG bands and 21 operator eye movement provides more evidence of a fatigued state compared to only assessing one of these measures (Schlegel, & Gaillard, 2003). Physiological data can be acquired from 22 23 multiple systems including the cognitive, ocular, cardiovascular, and respiratory systems.

#### BAROREFLEX SENSITIVITY AS A MARKER OF STRESS

1	Signals commonly used include electroencephalography (EEG), electrooculography (EOG),
2	electrocardiography (ECG), respiration rate, and galvanic skin response (GSR) (Scerbo, 2006).
3	However, more recent works have also included the use of thermal imaging to assess
4	cardiovascular and temperature responses to assess operator state (Pavlidis et al., 2007).
5	Mental workload has often been assessed through electrical activity of the brain via EEG
6	or by neural and hemodynamic responses in the prefrontal cortex (i.e., fNIR) as these measures
7	provide a reliable assessment of cognitive workload (Ayaz et al., 2012; Parasuraman, &
8	Caggiano, 2005; Scerbo et al. 2001; Sterman, Mann, Kaiser, & Suyenobu, 1994; Takeuchi,
9	2000). In addition to EEG and fNIR, a novel and unobtrusive technique that is sensitive to
10	mental workload is facial thermal imaging which measures the activity of the supraorbital area
11	(Levine, Pavlidis, MacBride, Zhu, & Tsiamyrtzis, 2009). Cardiovascular responses, though, can
12	also reliably detect mental workload (Grossman and Taylor, 2007; Prinzel et al., 2000; Wilson
13	and Russel, 2003). The cardiovascular (CV) variables that respond to state changes in mental
14	workload include heart rate and heart rate variability (HRV), blood pressure, and baroreflex
15	sensitivity (BRS) (Althaus, Mulder, Mulder, Van Roon, & Minderaa, 1998; Van Roon, Mulder,
16	Veldmann, & Mulder, 1995). The literature consistently shows that as the operator's mental
17	workload increases, heart rate and blood pressure increase while HRV decreases. While much

18 more limited, research suggests that BRS also decreases. However, these changes are time

19 dependent for heart rate and HRV. Short-term segments of mental tasks produce the

20 aforementioned results. Longer lasting experiments (e.g., 45 minutes) found heart rate and HRV

21 levels trending back towards baseline while blood pressure remained elevated and BRS remained

22 at a distinctly lower level (Mulder et al., 1992).

1 In regards to adaptive systems, the most commonly studied CV measures are heart rate 2 and HRV (Fairclough and Venables, 2006; Ting et al., 2010); blood pressure and, even more so, BRS are less commonly used in these settings (Mulder, Dijksterhuis, Stuiver, & De Waard, 3 4 2009; Stuvier et al., 2012). Measuring BRS in conjunction with heart rate and HRV, though, may provide a more comprehensive explanation of changes in these variables, especially during 5 prolonged mental tasks. The reduction in HRV with increased mental effort is related to a 6 reduction in the low frequency power band (0.07-0.14 Hz) (Mulder, 1979) which is associated 7 with regulation of arterial blood pressure through the baroreflex loop (Wesseling, & Settels, 8 1985). Under normal conditions, the baroreflex loop tightly regulates blood pressure through 9 regulation of sympathetic and parasympathetic outflow via modifications in effectors such as 10 heart rate, myocardial contractility, and arterial resistance (Thomas, 2011). For example, an 11 12 increase in arterial blood pressure yields an increase in parasympathetic outflow and a decrease in sympathetic nerve traffic, causing a decrease in heart rate, myocardial contractility, and 13 arterial resistance. During emotional excitement when the "flight or fight" response is evoked, 14 baroreflex sensitivity is inhibited by influences of the telencephalon and diencephalic systems, 15 specifically the rostral ventrolateral medulla, which allows for inconsistent increases in both 16 heart rate and blood pressure (Berntson, 1991). Mild stressors, such as mental arithmetic, cause 17 similar reductions in BRS (Lipman et al., 2002; Steptoe & Sawada, 1989; Slight, Fox, Lopez, & 18 Brooks, 1987). Thus, reductions in BRS may explain the shift in heart rate and HRV towards 19 baseline during prolonged mental tasks. 20

One essential characteristic of physiological measures usable for adaptive systems is the
ability of the measure to reflect changes in operator performance (Byrne & Parasuraman, 1996).
Heart rate variability is associated with and predictive of operator performance (Haarmann,

1 Boucsein, & Schaefer, 2009; Wilson and Russel, 2003; Wilson and Russel, 2007). Durantin and colleagues (2014) found HRV to be increased during extremely high task loads, where 2 performance was the worst, compared to low level task loads which resulted in decreased HRV 3 4 and high performance scores. This inverted-U response suggests that at high levels of mental overload, some cardiovascular responses may drift toward baseline levels when mental capacity 5 is met. This response is similar to levels being reversed over long periods of task load. However, 6 blood pressure and BRS were not examined so it is unknown if this relationship is true for these 7 8 measures.

9 Few studies have examined BRS as a predictor of performance. Yasumasu and 10 colleagues (2006) found BRS to be negatively correlated (r = -.51) with performance; continual reductions in BRS were linked with achievement of higher performance levels during a mental 11 arithmetic task. Baroreceptor sensitivity was also the only variable which was an independent 12 predictor of performance (Yasumasu et al., 2006). Work completed by Duschek et al. (2009) 13 found BRS to be inversely associated with performance during a visual attention tasks. Both of 14 15 these studies, however, only examined the changes in BRS during either a mental arithmetic tasks or a visual task. Most adaptive settings will require individuals to focus on many tasks at 16 once (i.e., visual, auditory, working memory) so it would be beneficial to examine the change in 17 BRS under multiple stressors. In addition, no study to date has systematically assessed the 18 comparability of BRS responses to other more commonly assessed cardiovascular markers of 19 20 stress state. Consequently, the present study was designed to compare the acute changes in 21 HRV, blood pressure, and BRS during high levels of mental load and during an intense physical stressor. A second purpose of the study was to examine the relationship between BRS and 22 23 performance. A third aim of the study was to examine the effector modulators that regulate blood pressure (heart rate, myocardial contractility, and arterial resistance) to further explain changes in
 blood pressure and BRS.

#### 3

# Methods

4 (

# **Overview of Experimental Design.**

5 A within-subjects design was used to assess physiological changes under two conditions 6 of stress—one consisting of a series of psychological stressors (i.e., Stroop word test, anagrams, mental arithmetic) and the other consisting of a physical stressor (i.e., cold pressor test). The 7 8 psychological stressors were adapted into an interactive software application that required the 9 participant to use a keyboard to respond to each task with the tasks displayed in 3-D on one 10 10 foot wall of a six-sided virtual reality system using a custom simulation engine, VirtuTrace 11 (Figure 1). To increase distress, both visual (e.g., number of correct answers, time remaining) and auditory feedback (e.g., different tones for correct and incorrect answers) were provided. A 12 virtual environment was chosen for this task in order to test the practicality of using BRS in this 13 setting. The physiological stressor, foot immersion in an ice bath, was administered in the room 14 immediately adjacent to the virtual reality environment. 15

Upon arrival in the laboratory, each participant was instrumented for the continuous assessment of the physiological responses. The experimental session commenced with a baseline period of 20 minutes of quiet seated rest. At the end of the rest period, participants began either the physiological or psychological stressor. The stressor lasted 6 minutes and was immediately followed by 20 minutes of quiet seated rest to allow responses to return to baseline. Participants then performed the other stressor. Order of stressor administration was determined using a randomized, counter-balanced design.

# 1 **Participants**

Fifteen males and five females, between the ages of 20 and 38, participated in this study. All were apparently healthy without a history of circulatory problems and denied being color blind. Participants were recruited through campus advertisement and email. Potential participants underwent an orientation session where they became familiar with the procedures of the experiment and the laboratory environment. As part of this orientation, each participant was informed of the experimental measures and signed a written consent form approved by the university institutional review board.

9 Physiological measures

10 **H** 

# Heart rate and Heart Rate Variability

All physiological data were recorded by an integrated hardware and software package 11 (Biopac MP150, Acqknowledge; BIOPAC Systems, Inc., Goleta, CA). The data used for 12 measures of heart rate and heart rate variability were collected by a 3-lead ECG configuration 13 with disposable electrodes placed on the left rib cage, right clavicle, and the ground electrode on 14 the right rib cage. The ECG data were collected wirelessly using a Biopac BioNomadix 15 16 transmitter with data sampled at a rate of 1000Hz and amplified using a Biopac ECG100 electrocardiogram amplifier. The ECG signal was manually inspected for artifact and corrected 17 by interpolation. The distance between the R-R intervals was calculated from the ECG signal 18 19 based on a modified Pan-Tompkins QRS detector (Pan, & Tompkins, 1985) implemented by the software. These results were used to calculate heart rate. The corrected R-R intervals were then 20 exported into Kubios HRV software (Biomedical Signal Analysis Group, Department of Applied 21 22 Physics University of Kuopio, Finland) for HRV analysis. To remove trend components, data

1	were detrended by removal of the first order linear trend using the smoothness priors method
2	(Tarvainen, Ranta-aho, & Karjalainen, 2002). A low level of artifact correction was applied to
3	the entire data set. Detected artifacts beats were replaced using cubic spline interpolation using a
4	sample rate of 4Hz. A Fast Fourier transform algorithm was performed using Welch's
5	periodogram method with a window width of 256s and window overlap of 50%. The frequency
6	bands used were low frequency (LF): 0.04-0.15 Hz and high frequency (HF): 0.15-0.4 Hz. HF is
7	primarily mediated by parasympathetic activity and includes the effects of respiratory activity on
8	the cardiac internal signal (Mulder, 1979) while LF reflects sympathetic and parasympathetic
9	activity and is associated with the regulation of short-term blood pressures (Task Force, 1996).
10	The LF/HF ratio was calculated and changes in the LF/HF ratio were used as an indication of
11	fluctuations in sympathetic activity. To account for temporal changes in total power, the
12	frequency power values are expressed in normalized units.

13

# Cardiovascular Impedance

14 Cardiovascular impedance was measured in order to assess the myocardial effector modulators that regulate blood pressure. Cardiovascular impedance was recorded using a spot 15 electrode configuration with four voltage and four current electrodes. One pair of voltage 16 17 electrodes was placed at the base of the neck while the lower pair was positioned in the midaxillary line, at the level of the xiphisternal junction. The current electrodes were placed parallel 18 to the voltage electrodes with the upper pair placed 5 cm above the neck voltage electrodes and 19 20 the lower pair placed 5 cm below the thoracic electrodes (Sherwood et el., 1990). The impedance data were collected wirelessly (Biopac BioNomadix) at a sample rate of 1000 Hz and 21 the signal was amplified using a Biopac NICO100C module. Stroke volume (SV), cardiac output 22 (CO), left ventricular ejection time (LVET), pre-ejection period (PEP), and total peripheral 23

resistance (TPR) were calculated from the data collection. Myocardial contractility was assessed
 through changes in stroke volume, cardiac output, left ventricular ejection time, and pre-ejection
 period. Cardiac output, in conjunction with mean arterial pressure, was also used to assess
 changes in total peripheral resistance.

5

# **Blood Pressure and Baroreceptor Sensitivity**

Blood pressure was recorded by a noninvasive blood pressure system (Biopac
NIBP100D). This method employed a double finger cuff on the left hand and a vascular
unloading technique to assess arterial pressure continuously (CNSystems Medizintechnik AG,
Austria). This signal was referenced to blood pressures assessed at rest with a standard arm cuff
and integrated into the software package used to collect and analyze the other cardiovascular
variables. Beat-to-beat systolic blood pressure (SBP) and mean arterial blood pressure (MAP)
were analyzed.

Baroreflex sensitivity (BRS) was determined using the sequence technique (Parati, Di Rienzo, & Mancia, 2000). Here, sequences of greater than 3 beats of either progressive increases or decreases in systolic blood pressure and R-R interval (i.e., time between consecutive heart beats, expressed in ms) that were well-correlated (r > .70) were identified. The mean slope of the regression line between these parallel sequences was then calculated and depicted as the sensitivity of the cardiac BRS (ms/mmHg).

19 Psychological Stressor

Psychological stress was induced by three tests: 1) Stroop word test, 2) anagrams, and 3)
mental arithmetic. These tests provoke stress in different ways (i.e., conflict vs implicit memory)
and are primarily cognitive challenges. However, they have been well documented at provoking

#### BAROREFLEX SENSITIVITY AS A MARKER OF STRESS

1	stress responses, specifically, acute cardiovascular stress responses (Fauvel et al., 1996; Boyes &
2	French, 2010; Allen, Boquet, & Shelley, 1991). These tests also activate both the sympatho-
3	adrenal-medullary (SAM) and the hypothalamic-pituitary-adrenal (HPA) axes (Jönsson,
4	Wallergård, Österberg, Johansson, & Karlson, 2009).
5	The Stroop word test consisted of identifying the ink color of a printed word by using the
6	designated keys of the keyboard [R (red), Y (yellow), B (blue) and G (green) for the respective
7	colors]. Participants had 1.1 seconds to respond to each color-word. For the anagram task, a five
8	letter scrambled word appeared on the screen and participants were to unscramble the word using
9	the keyboard. Words were selected from a standardized list with time allotment dependent on
10	the anagram and varying from 10 to 160 seconds (Tresselt & Mayzner, 1966). Lastly, the
11	arithmetic task consisted of subtracting a two-digit number from a four-digit number in less than
12	7 seconds (Acevedo et al., 2006). Participants performed each task for 1 minute and then the
13	series of tasks was repeated once for a total of 6 minutes.

#### 14 **Physical Stressor**

15 Physical stress was provoked by a cold pressor test (Hines & Brown, 1936). The cold pressor test elicits a robust vascular sympathetic response (Mourot, Bouhaddi, & Regnard, 2009). 16 17 It strongly activates the SAM axis but is less capable of activating the HPA axis (Schwabe, Haddad, & Schachinger, 2008). Participants submerged their right foot in a bucket of ice water 18 (~4°C) for 6 minutes. This procedure was performed while participants were seated and 19 20 positioned similarly to that of the psychological stressor. Participants were asked to refrain from 21 excess movement or clenching of body parts during this time. There was no verbal communication or encouragement by the researchers during either of these 6 minute stressors. 22

# 1 Statistical Analyses

2 Mean values for HR (bpm), SV (mL), CO (L/min), LVET (ms), PEP (ms), MAP 3 (mmHg), TPR (mmHg/L/min), HRV (LF/HF; HF; LF), and BRS (ms/mmHg) were calculated 4 for the last 5 minutes of the rest period and for the 6 minutes of each task. A one-way within subjects repeated ANOVA for each physiological measure was carried out to determine mean 5 6 differences between rest, psychological stress, and physical stress. Significant differences were located using the Holm- Šídák multiple comparisons procedure. Effect sizes for differences 7 8 between stressors and resting baseline were calculated using Cohen's d (Cohen, 1988). The 9 relationship between BRS and performance (percent correct) on the psychological stressors was 10 assessed with simple linear regression analysis. The r coefficient is reported. The threshold of significance was set at p < 0.05. Values are presented as means ± standard error of the means 11 (SEM). 12

13

#### Results

# 14 **Participants**

The final data set consisted of 15 men and 5 women between the ages of 20 and 38 years (M = 23, SD = 5) who were senior undergraduate students within the university. Due to technical problems and one male participant being unable to complete the physical stressor for the allotted time, some data points (2%) are missing from some subjects in all scenarios.

**Heart Rate and HRV** 

A one-way ANOVA showed heart rate to be significantly changed (F(2, 19) = 10.51, p < .001) with the stressors. The psychological stressor had a greater effect on heart rate compared to rest (t = 4.550, p < .001, d = 1.367) and the physical stressor (t = 2.73, p = .010). Heart rate

1	variability in the high and low frequency bands were changed from baseline, but these
2	differences were of borderline significance ( $p = .08$ ; $p = .09$ , respectively). The LF/HF ratio did
3	not differ significantly between resting and the two stressors ( $p > .6$ ).
4	Impedance Measures
5	The statistical analysis revealed no significant results for the impedance measures ( $p >$
6	.05; Table 1). However, a medium effect size was found for LVET for the psychological stressor
7	(d = .50) and the physical stressor $(d = .56)$ .
8	Blood pressure and Baroreceptor Sensitivity
9	Systolic blood pressure was significantly changed from rest ( $F(2, 15) = 9.58, p < .001$ )
10	as pressure increased with the psychological stressor ( $t = 4.2, p < .001, d = 0.9$ ) compared to rest
11	and compared to the physical stressor ( $t = 2.7, p = .012$ ). No significant difference between rest
12	and physical stress was found.
13	A significant difference was found for BRS between rest and both stressors ( $F(2, 15) =$
14	14.13, $p < .001$ ). BRS decreased during the psychological stressor ( $t = 5.17$ , $p < .001$ , $d = 1.4$ )
15	and the physical stressor ( $t = 2.58$ , $p = .016$ , $d = 0.7$ ) compared to rest. BRS was significantly
16	lower during the psychological stressor compared to the physical stressor ( $t = 2.41 p = .002$ ).
17	Figure 2 shows the changes in BRS, HR, and SBP.
18	Table 1 presents the physiological measures obtained at rest and during the psychological
19	and physical stressors.

# 20 Relationship between Cardiovascular Measures and Psychological Performance

Performance scores (percent correct) were higher during the Stroop word test (69%) and mental arithmetic (67%) compared to the anagram tasks (54%) (F(2, 19) = 5.61, p = 0.007). The performance scores for the anagram task were lower than either the Stroop word test (t = 3.05, p = .004) or the mental arithmetic task (t = 2.73, p = .010). There were no significant differences between the Stroop word and mental arithmetic tasks. Baroreceptor sensitivity was negatively correlated with performance during the anagram (r = ..41) and the Stroop word test (r = -.31), but these associations were not significant (p > .05).

8

# Discussion

9 Computer-based adaptive systems currently use a handful of psychophysiological markers (e.g., GSR, EEG) to assess the operator's functional state. The importance of a reliable 10 marker lies in its potential usability to adequately and non-invasively measure the operator's 11 current state, thereby allowing the system to adjust appropriately. Cardiovascular (CV) measures 12 reliably change with mental load and can be collected relatively non-invasively. One CV marker 13 14 that has not been studied extensively for adaptive systems is baroreflex sensitivity (BRS). Prior research found BRS to be markedly changed during mental tasks (e.g., mental arithmetic). 15 However, these changes have not been compared to a comprehensive panel of cardiovascular 16 17 measures nor has the relationship between BRS and performance been extensively studied. The aim of the present study, therefore, was to assess BRS to determine its sensitivity and 18 responsiveness during acute psychological and physical stress, compare BRS to other 19 cardiovascular markers of stress and, finally, to examine the association between BRS and 20 operator performance. 21

The principal finding of this study was that BRS was more sensitive to the stressors than the other cardiovascular measures (SV, CO, LVET, PEP, TPR, MAP, and HRV). BRS declined

significantly in response to the two different kinds of stressors (psychological and physical) 1 2 while the other markers did not consistently respond to both stressors. For example, heart rate increased during the psychological stressor but not during the physical stressor. This finding is 3 4 consistent with previous research (Leblanc, Cote, Jobin, & Larie, 1979; Mourot, Bouhaddi, & Regnard, 2009). In addition, the psychological stressors produced a greater statically significant 5 reduction in BRS compared to the physical stressor. These reductions in BRS were paralleled by 6 the significant increases in heart rate and blood pressure during the psychological stressor. The 7 reductions in BRS were most likely initiated in the central command centers of the brain by 8 altering the BRS and then reducing the efferent response to the sensed increase in blood pressure. 9 The magnitude of this statistically significant change in BRS from baseline due to the 10 psychological stress was quite robust (d = 1.4). 11

12 The anagram task was more difficult for the subjects than either the Stroop word test or mental arithmetic task. The change in BRS was most strongly correlated with performance on 13 the anagram task (r = -41). These results are similar to Yasumasu and colleagues (2006) who 14 15 found performance on a psychological stressor to be inversely correlated with BRS (r = -.51). This relationship may be explained by the Lacey intake/ rejection theory which hypothesizes that 16 tasks demanding internal psychological concentration and rejection of external distractions 17 reduce baroreflex sensitivity which allows for an increase in cardiovascular activity (i.e., heart 18 rate and blood pressure) (Lacey, & Lacey, 1970). In the present study, the psychological stressor 19 may have produced an environment where the participants had to internally focus while blocking 20 21 external disturbances. This is noteworthy here, since the external distractions during the psychological stressors were heightened via auditory cues for each correct and incorrect 22

#### BAROREFLEX SENSITIVITY AS A MARKER OF STRESS

response. Thus, this finding supports the notion of designing adaptive systems to take over some
 tasks which are distracting to the operator during high cognitive demands.

3 One unexpected outcome was the lack of a significant HRV response to the two stressors. 4 Though not statistically significant, the HF component responded in the expected direction for 5 both stressors as the changes from rest reflect the fluctuations in parasympathetic tone and heart 6 rate. The LF component, on the other hand, tended to increase during the psychological stressor 7 and decrease during the physical stressor compared to rest. The reduction in LF during the physical stressor may be due to the suppression of arterial pressure regulation by the baroreflex 8 9 loop causing the decrease in BRS. The increase during the psychological stressor can be interpreted as sympathetic activation which is supported by the increase in blood pressure and 10 11 heart rate.

One limitation of the present study was the small sample size of 20 participants. While 12 medium to large effect sizes were present, the small sample size likely affected statistical power 13 14 to the point that we did not see statistical significance in the LF and HF components as well as the other CV measures. Nevertheless, the effect sizes seen with the BRS measure were larger 15 than the other CV measures, reinforcing its potential utility as a marker of stress. A second 16 17 limitation of this study was the focus on only cardiovascular stress markers. While this narrow perspective was purposeful in the present study, future studies should compare BRS to EEG, 18 GSR, and eye tracking techniques during cognitive tasks. In conjunction, BRS should be 19 examined during other types of tasks that may be more relatable to those performed in adaptive 20 system machines. A third limitation of the current study was the selection of psychological 21 22 stressors. While these tests are standard laboratory tests proven to provoke a stress response, there are subtle cognitive differences between each test. A final limitation of the present study 23

was the absence of a subjective measure of stress. While verbal feedback from the subjects
 reinforced the stressfulness of the intervention, a subjective measure of stress may have clarified
 the findings.

In conclusion, BRS was significantly reduced during psychological and physical stress 4 and to a greater degree during psychological stress. BRS was more robustly responsive to the 5 6 two stressors than other, more commonly used, cardiovascular measures of stress. Our findings 7 parallel previous work indicating that BRS reliably changes during cognitive tasks, but extends it 8 by suggesting it may be a viable tool for assessing an operator's functional state. In addition, 9 BRS was associated with performance—a key component of task allocation in adaptive systems. 10 Nevertheless, further research comparing BRS to common psychophysiological sensors in a wider array of stressors and performed in real time is greatly needed. Finally, further research 11 12 should assess the feasibility of assessing BRS in real time (Westerhof et al., 2006) for use within adaptive systems. 13

1

#### References

2	Acevedo, E. O., Webb, H. E., Weldy, M. L., Fabianke, E.C., Orndorff, G.R. & Starks, M.A.,
3	(2006). Cardiorespiratory responses of hi fit and low fit participants to cognitive challenge
4	during exercise. International Journal of Sports Medicine, 27, 1013–1022.

Allen, M. T., Boquet, A. J., & Shelley, K. S. (1991). Cluster analyses of cardiovascular responsivity to three laboratory stressors. *Psychosomatic Medicine*, 53, 272-288.

Althaus M., Mulder, L.J., Mulder, G., Van Roon, A.M., & Minderaa, R.B. (1998). Influence of
 respiratory activity on the cardiac response pattern to mental effort. *Psychophysiology*, 35,
 420-430.

- Ayaz, H., Shewokis, P.A., Bunce, S., Izzetoglu, K., Willems, B. & Onaral, B. (2012). Optical
   brain monitoring for operator training and mental workload assessment. *NeuroImage*, 59, 36-47.
- Berntson, G.G., Cacioppo, J T., & Quigley, K.S. (1991). Autonomic determinism: the modes of
   autonomic control, the doctrine of autonomic space, and the laws of autonomic constraint.
   *Psychological Reviews*, 98, 459-487.
- Boyes, M. E., & French, D. J. (2010). Neuroticism, stress, and coping in the context of an anagram solving task. *Personality and Individual Differences*, 49, 380-385.
- Broadley, A.J.M., Korszun, A., Abdelaal, E., Moskvina, V., Jones, C.J.H., Nash, G.B. . . .
   Frnneaux, M.P. (2005b). Inhibition of Cortisol Production with Metyrapone Prevents
   Cognitive Stress-Induced Endothelial Dysfunction and Baroreflex Impairment. *Journal of American College of Cardiology*, 46, 344-350.
- Byrne, E. A., & Parasuraman, R. (1996). Psychophysiology and adaptive automation. *Biological Psychology*, 42, 249-268.
- Chen, J.Y.C., Haas, E.C., Barnes, M.J. (2007) Human performance issues and user
   interfacedesign for teleoperated robots. *IEEE Transactions on Systems, Man, and Cyber- netics, Part C: Applications and Reviews*, 37(6):1231–45. IEEE.
- Cohen, J. (1988). *Statistical power analysis for the behavioral sciences* (2nd ed.). Hillsdale, NJ:
   Erlbaum.
- Cummings. M., Bruni, S., Mercier, S., Mitchell, P.J. (2007) Automation architecture for single
   operator, multiple UAV command and control. *Security*, 1(2):1–24.
- Dorneich, M.C., Whitlow, S.D., Mathan, S., Ververs, P.M., Ezdormus, D., Adami, A., Pavel, M.,
   & Lan, T. (2007). Supporting real-time cognitive state classification on mobile individual.
   *Cognitive Engineering and Decision Making*, 1, 240-270.
- Durantin, G., Gagnon, J-F., Tremblay, S., & Dehais, F. (2014). Using near infrared spectroscopy
   and heart rate variability to detect mental overload. *Behavioural Brain Research*, 259(16 23.

- Duschek, S., Muchenthaler, M., Wener, N., & Reyes del Paso, G.A. (2009). Relationship between
   features of autonomic cardiovascular control and cognitive performance. *Biological Psychology*, 81, 110-117.
- Fairclough, S.H., & Venables, L. (2006). Prediction of subjective states from psychophysiology:
  a multivariate approach. *Biological Psychology*, 71, 100-110.
- Fauvel, J. P., Bernard, N., Laville, M., Daoud, S., Pozet, N., & Zech, P. (1996). Reproducibility
  of the cardiovascular reactivity to a computerized version of the Stroop stress test in
  normotensive and hypertensive participants. *Clinical Autonomic Research*, 6, 219-224.
- 9 Feigh, K.M., Dorneich, M.C., & Hayes, C.C. (2012). Toward a characterization of adaptive
  10 systems: a framework for researchers and system designers. *Human Factors*, 54, 100811 1024.
- Grossman, P., & Taylor, E.W., 2007. Toward understanding respiratory sinus arrhythmia: relations
   to cardiac vagal tone, evolution and biobehavioral functions. *Biological Psychology*, 74, 263–285.
- Haarmann, A., Boucsein, W., Schaefer, F., 2009. Combining electrodermal responses and
   cardiovascular measures for probing adaptive automation during simulated flight. *Applied Ergonomics*, 40, 1026-1040.
- Hines. E. A. Jr., & Brown, O. E. (1936). The cold pressor test for measuring the reactibility of the
   blood pressure: Data concerning 571 normal and hypertensive participants. *American Heart Journal*, 11, 1-9.
- Jönsson, P., Wallergård, M., Österberg, K., Johansson, G., Karlson, B. (2009). Cortisol and
   cardiovascular reactivity and habituation to a virtual version of the Trier Social Stress Test.
   *Psychneuroendocrinology*, 35, 1397-1403.
- Lacey, J. I., & Lacey, B. C. (1970). Some autonomic-central nervous system interrelationships. In
   P. Black (Ed.), *Physiological Correlates of Emotion* (pp. 205–227). New York: Academic
   Press.
- Leblanc, J., Cote, J., Jobin, M, & Larie, A. (1979). Plasma catecholamines and cardiovascular
   responses to cold and cognitive activity. *Journal of Applied Physiology: Respiratory, Environcognitive and Exercise Physiology*, 47, 1207-1211.
- Levine, J.A., Pavlidis, I.T., MacBride, L., Zhu, Z., & Tsiamyrtzis, P. (2009). Description and
   clinical studies of a device for the instantaneous detection of office-place stress. WORK: A
   *Journal of Prevention, Assessment, and Rehabilitation*, 34, 359-364.
- Lipman, R.D., Grossman, P., Bridges, S.E., Hammer, J.W., & Taylor, A.J. (2002). Mental stress
   response, arterial stiffness, and baroreflex sensitivity in healthy aging. *Journal of Gerontology*, 57, 279-284.
- Mourot, L., Bouhaddi, M., & Regnard, J. (2009). Effects of the cold pressor test on cardiac
   autonomic control in normal subjects. *Physiology Research*, 58, 83-91.
- Mulder, G., 1979. Sinus arrhythmia and mental workload. In: Moray, N. (Ed.), *Mental Workload: Its Theory and Measurement*. Plenum, New York, pp. 327–343.

1 2 3 4 5	<ul> <li>Mulder, B., Veldman, H., Van Der Veen, F., Van Roon, A., Ruddel, H., Schachinger, H., &amp; Mulder, B. (1992). The effects of mental task performance and heart rate, blood pressure and its variability measures. In: Di Rienzo, M., Mancia, G., Parati, G., Pedotti, A., and Zanchetti, A. (Eds.), <i>Blood Pressure and Heart Rate Variability</i>. IOS Press, Amsterdanm, 1992, p. 153-166.</li> </ul>
6 7 8	Mulder, L.J.M, Dijksterhuis, C., Stuiver, A., De Waard, D. (2009). Cardiovascular state changes during performance of a simulated ambulance dispatcher's task: potential use for adaptive support. <i>Applied Ergonomics</i> , 40, 965-977.
9 10	Pan, J., & Tompkins, W.J. (1985). A real-time qrs detection algorithm. <i>IEEE Transactions on Biomedical Engineerings</i> , 32(3): 230-236.
11 12 13 14	<ul> <li>Parasuraman, R., 1990. Event-related brain potentials and human factors research. In: Rohrbaugh, J.W., Parasuraman, R., Johnson, Jr., R. (Eds.), <i>Event-related Brain</i> <i>Potentials: Basic Issues and Applications</i>. Oxford University Press, New York, pp. 279– 300.</li> </ul>
15 16 17	<ul><li>Parasuraman, R. &amp; Caggiano, D. (2005). Neural and genetic assays of human mental workload.</li><li>In: Schmorrow, D.D., Estabrooke, I.V., &amp; Grootjen, M (Eds.), <i>Quantifying Human</i> <i>Information Processing</i>, 123-129.</li></ul>
18 19	Parati, G., Di Rienzo, M., & Mancia, G. (2000). How to measure baroreflex sensitivity: from the cardiovascular laboratory to daily life. <i>Journal of Clinical Hypertension</i> , 18, 7–19.
20 21	Pavlidis, I., Dowdall, J., Sun, N., Puri, C., Fei, J., & Garbey, M. (2007). Interacting with human physiology. <i>Computer Vision and Image Understanding</i> , 108, 150-170.
22 23 24	Prinzel, L.J., Freeman, F.G., Scerbo, M.W., Mikulka, P.J., & Pope, A.T. (2000). A closed loop system for examining psychophysiological measures for adaptive task allocation. <i>International Journal of Aviation Psychology</i> , 10, 393-410.
25 26 27	Ruff, H, A., Narayanan, S., Draper, M.H. (2002). Human interaction with levels of automa-tion and decision-aid fidelity in the supervisory control of multiple simulated. <i>Technology</i> , 11, 335–351.
28 29 30	Ryu, K, & Myung, R. (2005). Evaluation of mental workload with a combined measure based on physiological indices during a dual task of tracking and mental arithmetic. <i>International Journal of Industrial Ergonomics</i> , 35,-991-1099.
31 32 33 34	Scerbo, M.W., Freeman, F.G., Mikulka, P.J., Parasuraman, R., DiNocero, F., & Prinzel, L.J. (2001). <i>The efficacy of psychophysiological measures for implementing adaptive</i> <i>technology</i> . Hampton, VA: National Aeronautics and Space Administration, Langley Research Center.
35 36 37	Scerbo, M.W. (2006). Adaptive Automation. In R. Parasuraman & M. Mouloua (Eds.), Neuroergonomics: <i>The Brain at Work</i> (pp.239-252). New York, NY: Oxford University Press.

Schlegel, R.E., & Gaillard, A. W.K. (2003). Methods of assessing operator functional state, In 1 Hockey, G.R.J., Gaillard, A.W.K., & Burov, O., (Eds.), Operator Functional State 2 (pp.303-312). Amsterdam: IOS Press. 3 4 Schwabe, L., Haddad, L., & Schachinger, H. (2008). HPA Axis Activation by a Socially Evaluated Cold-Pressor Test, Psychneuroendocrinology, 33, 890-895. 5 Sherwood, A., Allen, M.T., Fahrenberg, J., Kelsey, R.M., Lovallo, W.R., & Van Doornen, L.J.P. 6 7 (1990). Committee report: methodological guidelines for impedance cardiography. Psychophysiology, 27, 1-23. 8 Slight, P., Fox, P., Lopez, R., & Brooks, D.E., (1987). The effect of mental arithmetic on blood 9 10 pressure variability and baroreflex sensitivity in man. Clinical Science and Molecular Medicine, 4, 381s-382s. 11 Steptoe, A., and Sawada, Y. (1989). Assessment of baroreceptor reflex function during cognitive 12 stress and relaxation. Psychophysiology, 26, 140-147. 13 14 Sterman, M.B., Mann, C.A., Kaiser, D.A., & Suyenobu, B.Y. (1994). Multiband topographic EEG analysis of a simulated visuo-motor aviation task. International Journal of 15 Psychophysiology, 16, 49-56. 16 Stuvier, A., de Waard, D., Brookhuis, K.A., Dijksterhuis, C., Lewis-Evans, B., & Mulder, L.J.M. 17 (2012). Short-term cardiovascular responses to changing task demands. International 18 19 Journal of Psychophysiology, 85, 153.60. Takeuchi, Y. (2000). Change in blood volume in the brain during a simulated aircraft landing 20 task. Journal of Occupational Health, 42, 60-65. 21 22 Tarvainen, M.P., Ranta-Aho, P.O., & Karjalainen, P.A. (2002). An advanced detrending with application to HRV analysis. *IEEE Transactions on Biomedical Engineering*, 49, 172-23 175. 24 25 Task Force of the European Society of Cardiology and the North American Society of Pacing and Electrophysiology (1996). Heart rate variability-standards of measurement, physiological 26 interpretation, and clinical use. Circulation 93, 1043–1065. 27 28 Thomas, G.D., (2011). Neural control of the circulation. Advances in Physiology Education, 35, 29 28-32. Ting, C.H., Mahfouf, M., Nassef, Linkens, D.A., Panoutsos, Nickel, P., Roberts, A.C., & Hockey, 30 R.G. (2010). Real-time adaptive automation system based on identification of operatory 31 functional state in simulated process control operations. IEEE Transactions on Systems, 32 Man, and Cybernetics, 40, 251-262. 33 34 Tresselt, M. E., & Mayzner, M. S. (1966). Normative solution times for a sample of 134 solution words and 378 associated anagrams. Psychonomic Monograph Supplements, 1, 293-298. 35 Van Roon, A.M., Mulder, L.J.M, Veldmann, J.B.P. & Mulder, G. (1995). Beat-to-beat blood-36 pressure measurements applied on studies on mental workload. Homeostasis, 36, 316-24. 37

1 2 3	Wesseling, K.H., & Settels, J.J. (1985). Baromodulation explains short-term blood pressure variability. In Orlebeke, J.F., Mulder, G., & Van Doornen, L.P.J. (Eds.), <i>The</i> <i>psychophysiology of cardiovascular control</i> (pp.69-97). New York: Plenum Press.
4 5 6	<ul> <li>Westerhof, B.E., Gisolf, J., Karemaker, J.M., Wesseling, K.H., Secher, N.H., &amp; Van Lieshout, J.J. (2006). Time course analysis of baroreflex sensitivity during postural stress. <i>American Journal of Physiology. Heart and Circulatory Physiology</i>, 291, H2864-H2874.</li> </ul>
7 8	Wickens, C.D., Hollands, J.G., 2000. Engineering Psychology and Human Performance. Prentice-Hall, Englewood Cliffs, NJ.
9 10 11	Wilson, G.F., & Russell, C.A., (2003). Real-time assessment of mental workload using pschophysiological measures and artificial nerual networks. <i>Human Factors</i> , 45, 635- 643.
12 13 14	Wilson, G.F., & Russell, C.A. (2007). Performance enhancement in an uninhabited air vehicle task using psychophysiologically determined adaptive aiding. <i>Human Factors and Ergonomics Society</i> , 49, 1007-1018.
15 16	Yasumasu, T., Reyes Del Paso, G. A., Takahara, K., & Nakashima, Y. (2006). Reduced baroreflex cardiac sensitivity predicts increased cognitive performance. <i>Psychophysiology</i> , 43, 41–5.
17	