



Ulrich, Jesse &lt;ulrichj@ahst.k12.ia.us&gt;

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## Secondary Data Analysis

4 messages

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**Jesse Ulrich** <ulrichj@ahst.k12.ia.us>  
To: IRB@iastate.edu

Wed, Sep 24, 2014 at 3:44 PM

Dear IRB,

While filling out the self test checklist, there were a couple of boxes that I was unsure of. Specifically, the data being obtained through interaction or intervention with individuals.

If I'm doing a secondary data analysis of a survey that has already been collected by a national organization, can I fill out the IRB except request form? The data does not have personal information and the individuals are not identifiable.

Thanks,  
Jess

Mr. Jesse Ulrich. Superintendent.  
**A-H-S-T Community School District**  
.....  
**Walnut Community School District**

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**IRB Committee [ORR]** <irb@iastate.edu>  
To: Jesse Ulrich <ulrichj@ahst.k12.ia.us>

Fri, Sep 26, 2014 at 11:33 AM

Hi Jesse,

Thank you for contacting the IRB.

If you will be receiving the data completely de-identified the data would not be considered "human subjects" as defined by the federal regulations, as the data must be considered both private and identifiable; accordingly, IRB oversight would not be required.

If however, if you are using data that contains identifiers, but you are recording it in such a manner that participants cannot be identified either directly or indirectly through a key, then review (potentially exempt review) would be necessary.

Does that differentiation make sense? It is tricky, but if I am understanding you correctly – you are *receiving* data without any identifiers. If that is indeed the case, IRB oversight is not required at all. Please correct me if I am not understanding your plans accurately.

Best,

Jen

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**From:** Jesse Ulrich [mailto:[ulrichj@ahst.k12.ia.us](mailto:ulrichj@ahst.k12.ia.us)]  
**Sent:** Wednesday, September 24, 2014 3:45 PM  
**To:** IRB Committee [ORR]  
**Subject:** Secondary Data Analysis

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**Jesse Ulrich** <[ulrichj@ahst.k12.ia.us](mailto:ulrichj@ahst.k12.ia.us)>  
To: "IRB Committee [ORR]" <[irb@iastate.edu](mailto:irb@iastate.edu)>  
Cc: "Joanne [SOE] Marshall" <[jmars@iastate.edu](mailto:jmars@iastate.edu)>

Fri, Sep 26, 2014 at 12:54 PM

Jen,

You are correct. Thank you for the information! Do I fill out the exempt form then to make sure I have the documentation?

Thanks,  
Jess

Mr. Jesse Ulrich. Superintendent.  
**A-H-S-T Community School District**  
.....  
**Walnut Community School District**

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**IRB Committee [ORR]** <[irb@iastate.edu](mailto:irb@iastate.edu)>  
To: Jesse Ulrich <[ulrichj@ahst.k12.ia.us](mailto:ulrichj@ahst.k12.ia.us)>, "IRB Committee [ORR]" <[irb@iastate.edu](mailto:irb@iastate.edu)>  
Cc: "Marshall, Joanne [SOE]" <[jmars@iastate.edu](mailto:jmars@iastate.edu)>

Fri, Sep 26, 2014 at 5:00 PM

Hello Jesse,

This email thread is sufficient documentation for our office; however, if you would like to complete an application we can use that to make a more formal determination.

Best,

Jen

**Jennifer Ensley Gorshe**

IRB Administrator  
Office for Responsible Research | Iowa State University  
1138 Pearson Hall | Ames IA 50011  
[515-294-4566](tel:515-294-4566) | [515-294-4267](tel:515-294-4267) fax

[www.compliance.iastate.edu](http://www.compliance.iastate.edu)

*Effective April 1, 2013: The Office for Responsible Research will no longer accept applications on previous versions of the forms. Due to the ongoing improvements and periodic changes to the forms, please visit <http://www.compliance.iastate.edu/irb/forms/> and download the current form. Applications received on old versions of forms will be returned without being reviewed*

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**From:** Jesse Ulrich [mailto:[ulrichj@ahst.k12.ia.us](mailto:ulrichj@ahst.k12.ia.us)]  
**Sent:** Friday, September 26, 2014 12:54 PM  
**To:** IRB Committee [ORR]  
**Cc:** Marshall, Joanne [SOE]  
**Subject:** Re: Secondary Data Analysis

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