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Abstract
The phase evolution with temperature in the 0.98PbZrO3–0.02Pb(Ni1/3Nb2/3)O3 ceramic was investigated with dielectric permittivity and polarization measurements, hot stage transmission electron microscopy, and high temperature x-ray diffraction. Below 190 °C, the ceramic is in the antiferroelectric phase with characteristic 14{110}c superlattice diffractions. In this stage, typical antiferroelectric 180° domains were observed. Between 190 and 220 °C, an intermediate phase, which is characterized by 12{110}c-type superlattice diffractions, was detected. Evidences are found to suggest that this intermediate phase is ferroelectric. The 12{110}c-type superlattice diffraction persists even into the paraelectric phase above 220 °C. In addition, there exists an incommensurate phase between the low temperature antiferroelectric phase and the intermediate ferroelectric phase.

Keywords
Ames Laboratory, Ceramics, Superlattices, X-ray diffraction, Dielectrics, Permittivity, Transmission electron microscopy, Ferroelectric phase transitions, Polarization, Electrical hysteresis, Phase transitions

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High temperature phases in the 0.98PbZrO₃–0.02Pb(Ni₁/₃Nb₂/₃)O₃ ceramic

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The phase evolution with temperature in the 0.98PbZrO₃–0.02Pb(Ni₁/₃Nb₂/₃)O₃ ceramic was investigated with dielectric permittivity and polarization measurements, hot stage transmission electron microscopy, and high temperature x-ray diffraction. Below 190 °C, the ceramic is in the antiferroelectric phase with characteristic 1/2{110}c superlattice diffractions. In this stage, typical antiferroelectric 180° domains were observed. Between 190 and 220 °C, an intermediate phase, which is characterized by 1/2{110}c-type superlattice diffractions, was detected. Evidences are found to suggest that this intermediate phase is ferroelectric. The 1/2{110}c-type superlattice diffraction persists even into the paraelectric phase above 220 °C. In addition, there exists an incommensurate phase between the low temperature antiferroelectric phase and the intermediate ferroelectric phase.

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I. INTRODUCTION

The classic antiferroelectric (AFE) compound lead zirconate (PbZrO₃ or PZ) has been extensively studied since 1950s.¹ At temperatures below 220 °C, PbZrO₃ displays an orthorhombic perovskite structure with antiparallel shifts of Pb³⁺ ions along the pseudocubic (110) direction, which leads to the AFE behavior.¹,² The space group for the low temperature AFE phase was determined to be Pbbam.³–⁵ At temperatures above 230 °C, PbZrO₃ is in the paraelectric phase with the cubic m3m symmetry.² In between the AFE and the paraelectric phase within a narrow temperature range, there is an intermediate phase, which is characterized by 1/2{110}c-type superlattice diffractions.⁵–⁸ However, the nature of this intermediate phase is still open for debate. Experimental evidence have been found to support either a ferroelectric⁶–⁷ or an AFE⁸ phase.

In our previous study, it has been found that by introducing minor amounts (2–6 mol %) of relaxor ferroelectric Pb(Ni₁/₃Nb₂/₃)O₃ (PNN) into PZ, the temperature range is expanded for an intermediate phase, which is characterized by an evident frequency dispersion in dielectric permittivity. As a consequence, a series of striking phase transitions was revealed by the dielectric measurement.⁹ In the present work, the 0.98PbZrO₃–0.02Pb(Ni₁/₃Nb₂/₃)O₃ (PZ98-PNN2) ceramic was selected to further investigate the phase evolution sequence during heating up to 300 °C with hot stage transmission electron microscopy (TEM) and high temperature x-ray diffraction (XRD).

II. EXPERIMENTAL PROCEDURE

The phase pure PZ98-PNN2 ceramic was prepared using the columbite precursor method in order to avoid the pyroclore phase formation. Detailed preparation procedures have been reported in our previous publications.⁹–¹¹ The relative density of the as-sintered ceramic was measured using the Archimedes method to be 98%. The grain size was examined by scanning electron microscopy (SEM) (JEOL JSM-606LV). As shown in Fig. 1, the freshly fractured cross section of the PZ98-PNN2 ceramic is almost free of pores and the grain size is in the range of 2–5 μm.

The surface layers of the sintered pellets were removed by mechanical grinding. XRD analysis was performed with Cu Ka radiation at a series of temperatures up to 300 °C on a PANalytical X-Pert Pro diffraction system to investigate the structural evolution. Dielectric properties were measured with an LCR meter (HP-4284A, Hewlett-Packard) on a Au-electroded specimen during heating from room temperature to 300 °C at a rate of 2 °C/min. The electrical polarization versus field hysteresis loops were recorded at a series of temperature with a standardized ferroelectric test system (RT-66A, Radiant Technologies). The peak field was maintained at 20 kV/cm during measurement.

Thin disks with a diameter of 3 mm were cut from the as-sintered ceramic pellet, ground, and polished to a thickness of 0.15 mm for TEM specimen preparation. The central portion of the disks was further thinned and polished by mechanical dimpling. Argon ion mill was then used to prepare the specimens for cross section examination by SEM. The microstructure of the ceramic was characterized by ESEM, SEM, and TEM microscopy. The ceramic was thinned to about 50 μm by a twin jet mechanical dimpling method and further thinned to 100–300 nm using argon ion milling.

FIG. 1. SEM micrograph of the freshly fractured cross section of the PZ98-PNN2 ceramic.
forate the disk at the center. Hot-stage TEM observations were performed with a heating rate less than 2 °C/min on a Philips CM30 instrument operating at 300 kV. Bright field images and selected area electron diffraction (SAED) patterns were recorded 10 min after the temperature was stabilized.

III. RESULTS AND DISCUSSION

A. Electrical properties

The temperature dependence of relative dielectric permittivity and loss tangent was measured at frequencies of 100 Hz, 1 kHz, and 10 kHz during heating from 30 to 300 °C and the results are displayed in Fig. 2. Clearly, there are two abrupt changes in both relative permittivity and loss tangent in the PZ98-PNN2 ceramic. The first one occurred at around 190 °C where both relative permittivity and loss tangent increased by one order of magnitude. The second abrupt change took place at the Curie temperature of 220 °C where significant suppression of loss tangent is seen. Therefore, the dielectric response in the PZ98-PNN2 ceramic can be divided into three stages. At temperatures below 190 °C, the relative permittivity and the loss tangent both have low values and show negligible increases with increasing temperatures. At temperatures above 220 °C, the relative permittivity starts to decrease following the Curie–Weiss law, \( \varepsilon_r = C/(T - T_0) \), where \( \varepsilon_r \) is the relative permittivity, \( T \) is the temperature, and \( C \) and \( T_0 \) are Curie constant and Curie point, respectively. By fitting the data between 220 and 300 °C in Fig. 2, \( C \) and \( T_0 \) were determined to be 1.89 \( \times 10^5 \) and 185.8 °C, respectively. In the intermediate temperature range (190–220 °C), the relative permittivity increases dramatically, while the loss tangent remains high around 0.1. The most remarkable feature of the dielectric behavior in this temperature range is the evident frequency dispersion of both relative permittivity and loss tangent, resembling that in relaxor ferroelectric ceramics. \( T_{\text{max}} \) the temperature at which the maximum dielectric permittivity is achieved, was measured to be 219.4 °C at 100 Hz, 220.1 °C at 1 kHz, and 220.4 °C at 10 kHz, respectively, shifting slightly toward higher temperatures with increasing frequency.

To further clarify the dielectric behavior of the different phases in the PZ98-PNN2 ceramic, electrical polarization hysteresis loop measurements were performed under a peak field of 20 kV/cm at a series of temperatures. During heating, the two electrodes were shortened. The loop was recorded after the temperature was stabilized for at least 5 min. As shown in Fig. 3(a), very small polarizations can be induced by the applied electric field in the ceramic at room temperature. This is typical for an AFE ceramic subjected to electric fields that are not sufficient to induce the AFE to ferroelectric phase transition. Such a linear behavior with minimum polarization remains at temperatures up to 170 °C.

When the temperature further increases, a hysteretic behavior starts to develop. As shown in Fig. 3(b), a regular hysteresis loop with a coercive field \( E_c \) of 3.9 kV/cm was recorded at 173 °C. However, the observed hysteresis loop does not indicate the presence of a ferroelectric phase. Close examination of the loop in Fig. 3(b) reveals that slight distortions occurred at \( \sim 5 \) kV/cm, marked with the two dashed circles on the hysteresis loop. Similar distortions on hysteresis loops were found in Pb0.99Nb0.02[(Zr0.75Sn0.43)1−yTi0.99]0.98O3 ceramics and have been attributed to the onset of the electric field-induced AFE to ferroelectric phase transition.12 Therefore, the PZ98-PNN2 ceramic at this temperature is still in the AFE phase. It should be noted that the distortions marked in Fig. 3(b) indicate the AFE-to-ferroelectric phase transition. The distortion associated with the backward ferroelectric-to-AFE transition was not seen because it may overlap with the coercive field of the induced ferroelectric phase. The observed large
polarization is due to the induced ferroelectric phase by the applied field of 20 kV/cm, which is much higher than the critical electric field $E_F$ of $\sim 5$ kV/cm.

Further increase in temperature leads to the decrease in the critical field $E_F$ and the increase in both the saturation polarization $P_s$ and the remanent polarization $P_r$. $P_s$ saturates at 25 $\mu$C/cm$^2$ when the temperature reaches 177 °C and stays unchanged up to 186 °C. It should be noted that the coercive field $E_c$ (not the critical field $E_F$) remains the same at 3.9 kV/cm in the temperature range of 172–186 °C. The results suggest that the volume fraction of the ferroelectric phase induced by a field of 20 kV/cm in the ceramic increases with increasing temperatures between 172 and 177 °C. In the temperature range of 177–186 °C, the whole piece of sample was forced into a ferroelectric phase by the external electric field of 20 kV/cm. Therefore, the $P_r$ saturates in this temperature range.

Dramatic change in the coercive field $E_c$ was observed at 186 °C. At this temperature, although a well defined hysteresis loop was still observed, $E_c$ abruptly reduced to 2.4 kV/cm, indicating the appearance of a new phase. Up to 200 °C, the hysteresis loop remains largely unchanged, with the one at 200 °C shown in Fig. 3(c).

Combined with the results presented in Fig. 2, we believe that the abrupt change in $E_c$ at 186 °C marks the phase transition at 190 °C revealed by the dielectric measurement. The discrepancy in temperature is due to the different test conditions. In the dielectric measurement, the ceramic sample was subjected to continuous heating at a rate of 2 °C/min, while in the polarization measurement, the hysteresis loops were recorded after at least 5 min the temperature is stabilized. In summary, the macroscopic property measurements reveal that at temperatures below 190 °C, the PZ98-PNN2 ceramic is AFE with stable and low dielectric permittivity and loss tangent. Under applied electric fields, the AFE phase can be transformed into a ferroelectric phase at temperatures slightly below the transition temperature. An intermediate phase exists between 190 and 220 °C. The increased loss tangent and the well defined hysteresis loops with reduced coercive fields $E_c$ seem to suggest that this phase is ferroelectric. Further supporting evidence is found in our previous study where the intermediate phase is stabilized down below room temperature in the PZ90-PNN10 ceramic and an undistorted hysteresis loop was observed in this ceramic at room temperature. However, this intermediate phase is a unique ferroelectric phase with evident frequency dispersion in its dielectric behavior.

### B. Hot stage TEM

The temperature induced phase transitions were visualized by hot stage TEM during heating. One grain about 3 $\mu$m in size was tilted so that its (001)-type axis was aligned with the electron beam direction. The evolution of the SAED pattern with temperature is exemplified in Fig. 4. At room temperature, the primary feature is the presence of the $\frac{1}{4}(110)_c$-type superlattice diffraction spots [Fig. 4(a)], where the subscript $c$ indicates that the indices are based on the parent cubic perovskite unit cell. The superlattice structure is identical to that of PbZrO$_3$ at room temperature. Therefore, adding 2 mol % of PNN does not change the crystal structure of PbZrO$_3$. The SAED pattern with the $\frac{1}{4}(110)_c$ superlattice spots does not change with increasing temperature up to 179 °C.

At 179 °C, the $\frac{1}{4}(110)_c$ superlattice spots disappeared, as shown in Fig. 4(b). Instead, incommensurate superlattice diffraction spots emerged. These extra diffraction spots are of the $\frac{1}{n}(110)_c$-type, where $n$ is not an integer. The value of $n$ is determined to be 6.48 for the PZ98-PNN2 ceramic from Fig. 4(b). The incommensurate superlattice diffraction spots only existed over a narrow temperature range of $\sim 3$ °C and completely disappeared at 181 °C. This type of incommensurate superlattice diffraction has been previously observed in PbZrO$_3$ and was attributed to the competition between the low temperature AFE phase and the intermediate ferroelectric phase.

In the temperature range of 181–212 °C, the primary feature in SAED patterns is the presence of the $\frac{1}{4}(110)_c$-type superlattice diffraction, as exemplified by the diffraction pattern recorded at 194 °C shown in Fig. 4(c). The $\frac{1}{4}(110)_c$ superlattice diffraction was reported previously and has been considered as the signature of the intermediate phase in PbZrO$_3$. However, considerable controversy remains concerning the symmetry and the nature of the intermediate phase. It was reported to be either rhombohedral or orthorhombic, either ferroelectric or AFE. The $\frac{1}{4}(110)_c$ superlattice diffraction started to become weaker and diffuse at 212 °C and finally vanished at 240 °C. Further increase in temperature up to 300 °C did not lead to any change in the diffraction pattern. The SAED pattern at 240 °C is shown in Fig. 4(d) and can be indexed with the parent cubic perovskite structure.
Corresponding changes in the bright field image of the same grain were observed as well, as shown in Fig. 5. From room temperature to 179 °C, one set of lamellar 180° AFE domains was observed in the grain [Fig. 5(a)], consistent with the one set of \( \frac{1}{2} \{110\}_c \) superlattice spots in the diffraction pattern. These lamellar domains became thinner as temperature was increased. At 179 °C, corresponding to the appearance of the \( \frac{1}{n} \{110\}_c \) incommensurate and the disappearance of the \( \frac{1}{2} \{110\}_c \) superlattice diffraction, the 180° AFE domains in the whole grain were replaced by wedge-shaped domains near grain boundaries. The tip of one wedge-shaped domain is marked by an arrow in Fig. 5(b).

In the temperature range of 181–212 °C, corresponding to the \( \frac{1}{2} \{110\}_c \)-type superlattice diffraction in SAED patterns, the grain displays a checkerboard-like domain morphology with domain walls primarily on the \( \{100\}_c \) planes. The domain walls of the large domain with bright contrast are indicated by arrows in Fig. 5(c). Such domain morphology is a reminiscence of the AFE domains in lead zirconate titanate ceramics.15 The walls of these checkerboard-like domains started to move and disappear at 212 °C and completely vanished at 224 °C. This grain remains contrast free during the further heating up to 300 °C, as shown in Fig. 5(d).

Compared with the results from dielectric measurement in bulk samples, the in situ TEM heating experiment reveals almost the same phase transition sequence. Below 179 °C, the PZ98-PNN2 ceramic is in the AFE phase that is isostructural to PbZrO\(_3\). Between 181 and 212 °C, the ceramic is in the intermediate ferroelectric phase characterized by the \( \frac{1}{2} \{110\}_c \)-type superlattice diffraction and the checkerboard-like domains. Above 212 °C, the ceramic is in the paraelectric phase. However, the \( \frac{1}{2} \{110\}_c \)-type superlattice diffraction and the checkerboard domain morphology persists up to 224 °C in the paraelectric phase. The persistence of the \( \frac{1}{2} \{110\}_c \) superlattice into paraelectric phase was noticed before in PbZrO\(_3\).26 The difference in the transition temperatures between the TEM experiment and the dielectric measurement is due primarily to the different sample geometries. The advantage of the in situ TEM study over the dielectric measurement is manifested in the revealing of the transient incommensurate phase around 179 °C between the low-temperature AFE phase and the intermediate phase.

C. High temperature XRD

The crystal structure of the PZ98-PNN2 ceramic was further analyzed with XRD at a series of temperatures during the heating process. Four temperatures were selected to record the diffraction pattern: 25 °C where the AFE phase isosstructural to PbZrO\(_3\) is expected, 190 °C where the incommensurate phase is expected in the bulk sample, 200 °C where the intermediate phase is expected, and 240 °C where the paraelectric phase should be dominant. All the patterns were recorded after the temperature was stabilized at the desired temperature for at least 10 min. The results are shown in Fig. 6, where the major peaks are indexed based on a cubic unit cell.

At 25 °C, the diffraction pattern fits the space group \( Pbam \), the same as PbZrO\(_3\) at room temperature.3–5 The peaks marked by the asterisks indicate the peaks that belong to this symmetry but are forbidden in the cubic structure. All these peaks can be indexed as \( \frac{1}{2} \{110\}_c \)-type superlattice diffractions. When the temperature increased to 190 °C, orthorhombic \( Pbam \) symmetry remained, as indicated by the asterisks. However, two additional peaks, one on each side of the \( \{110\}_c \) peak, emerged at 25.4° and 35.0°, respectively. They are marked with squares in Fig. 6. These additional peaks cannot be indexed with either cubic or orthorhombic symmetry. Considering the observed incommensurate phase observed in TEM, these two peaks may be the satellite diffraction peaks of the strongest \( \{110\}_c \) peak. This is indeed the case. They can be indexed as \( \{110\}_c -1/n \{110\}_c \) and \( \{110\}_c +1/n \{110\}_c \), respectively, with \( n=6.48 \). This value of \( n \) is exactly the same as determined by the TEM result. The observation of the incommensurate modulation with XRD is significant because so far it has been only revealed by electron diffraction in TEM in PbZrO\(_3\)-based ceramics.14

On further heating to 200 °C, the \( \frac{1}{2} \{110\}_c \)-type superlattice peaks became weaker and some of them even disappeared. While an orthorhombic symmetry was reserved, the overall pattern fits better with the space group \( P22n \). To our surprise, the incommensurate superlattice peaks can still be
clearly observed. This appears to disagree with the TEM result shown in Fig. 4, where the incommensurate satellite spots existed over a very narrow temperature range of less than 3 °C. This discrepancy can be explained by considering the difference in the experimental conditions. In the TEM experiment, observations were made in a single grain, while in XRD, hundreds of thousands of grains were exposed to the x-ray beam. The unsynergized phase transition of each individual grain leads to a much wider temperature range for the incommensurate phase.

At 240 °C where the PZ98-PNN2 ceramic is supposed to be in the high temperature paraelectric phase, the major peaks can be indexed with a cubic symmetry. However, close examination revealed the presence of trace amount of the orthorhombic phase.

IV. CONCLUSIONS

By introducing 2 mol % Pb(Ni1/3Nb2/3)O3 into PbZrO3, a series of phase transitions occurred above room temperature. The high temperature phases show distinct crystal structures and domain morphologies, as well as distinct dielectric and ferroelectric properties. Below 190 °C, the ceramic is in the Pbam symmetry with AFE 180° domains. Both the dielectric permittivity and the loss tangent are low and stable against temperature change. Around 190 °C, one order of magnitude increase in dielectric permittivity and loss tangent occurs within a narrow temperature range. Corresponding to the dramatic change in dielectric property is the presence of an incommensurate phase with \( \frac{1}{6.48} \{110\} \) satellite diffractions. In the temperature range of 190–220 °C, the ceramic is in an intermediate phase, which is believed to be ferroelectric. This phase is characterized by the fast increasing dielectric permittivity, stable and high loss tangent, well defined polarization hysteresis loops, and the \( \frac{1}{2} \{110\} \) superlattice diffraction. This ferroelectric intermediate phase is unique because of the frequency dispersion in its dielectric properties, the checkerboard-like morphology of its domain structure, and the orthorhombic space group of P22cb. Above 220 °C, the ceramic is in the cubic paraelectric phase with the relative permittivity following the Curie–Weiss law. However, the structural change at the Curie point is by no means abrupt. Some residual orthorhombic phase persists even at temperatures several tens of degrees above 220 °C.

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